

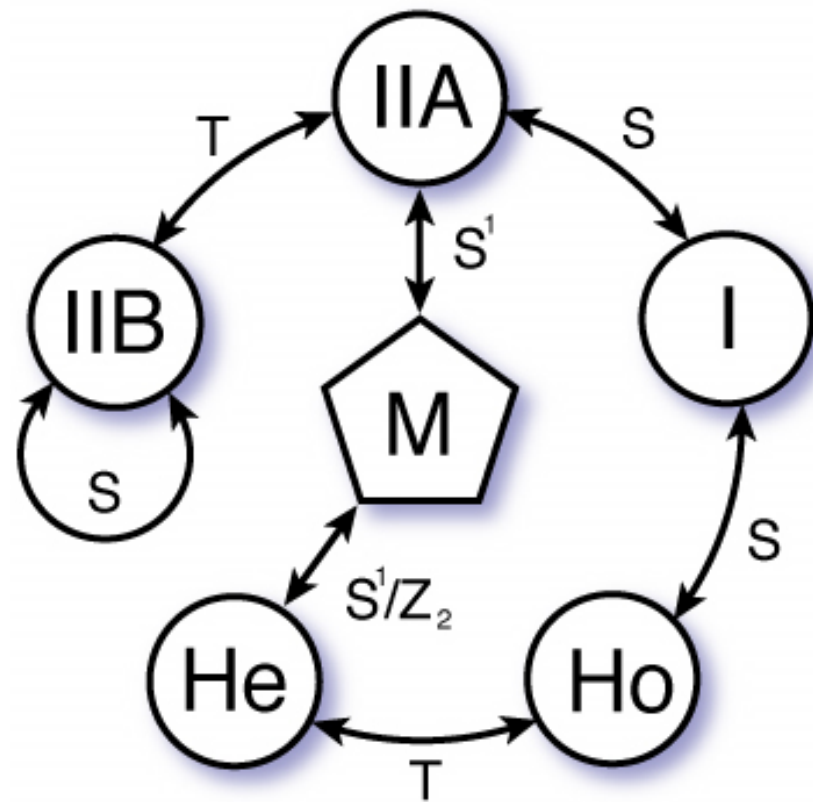
Witten Index for M-theory Old and New

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M / IIA / IA dualities and
D-Particle Quantum Mechanics



Witten 1995
 Horava-Witten 1995

M theory on a circle

$$\text{M on } S^1 \times \mathcal{M}_{9+1} = \text{IIA on } \mathcal{M}_{9+1}$$

IIA theory must remember
this M-theory origin

by forming an infinite tower of
multi D-particle bound states
moving freely on \mathcal{M}_{9+1}

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Witten 1995

P.Y. 1997

Sethi+Stern 1997

Gutperle+Green 1997

Moore+Nekrasov+Shatashvili 1998

⋮

S.J.Lee+P.Y. 2016

by forming an infinite tower of
multi D-particle bound states
moving freely on \mathcal{M}_{9+1}

$$\mathcal{I}_{\mathcal{N}=16}^{SU(N)} = 1$$

i.e., there has to be a unique threshold bound state
in maximally supersymmetric $SU(N)$ quantum mechanics

$$\begin{array}{c} A_0 \\ \\ X_{i=1,2,\dots,9} \\ \\ \Psi_{\alpha=1,2,\dots,16} \end{array}$$

$$\in \text{adj}(U(N))$$

center
of mass
motion

$$U(N) = U(1) \times SU(N)$$

mutual
interaction

$$\mathcal{I}_{N=16}^{SU(N)} = 1$$

P.Y. 1997

Sethi+Stern 1997

Gutperle+Green 1997

Moore+Nekrasov+Shatashvili 1998

⋮

S.J.Lee+P.Y. 2016

which is a particular case of $\mathcal{N} = 4$ **quiver** quantum mechanics



$A_0 \quad X_{i=1,2,3}$



$\Phi_1 = X_4 + iX_5$



$\Phi_2 = X_6 + iX_7$



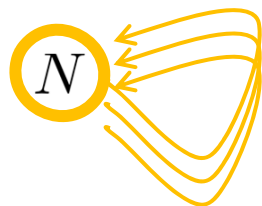
$\Phi_3 = X_8 + iX_9$

center
of mass
motion

$$U(N) = U(1) \times SU(N)$$

mutual
interaction

$$\mathcal{I}_{\mathcal{N}=4}^{SU(N); \Phi_{1,2,3}} = 1$$



M theory on a circle

$$\text{M on } S^1 \times \mathcal{M}_{9+1}/\Gamma = \text{IIA on } \mathcal{M}_{9+1}/\Gamma$$

IIA theory must remember
this M-theory origin

by forming an infinite tower of
multi D-particle bound states
along fixed points of the orbifold

M theory on a circle

$$\text{M on } S^1 \times \mathcal{R}^{8+1} \times \mathcal{R}^1/Z_2 = \text{IIA on } \mathcal{R}^{8+1} \times \mathcal{R}^1/Z_2$$

IIA theory must remember
this M-theory origin

Horava+Witten 1995
Kachru+Silverstein 1997

⋮

Hwang+Kim+Kim+Park 2014

⋮

by forming an infinite tower of
multi D-particle bound states
along the O8 orientifold
with eight D8-branes

M theory on a circle

$$\text{M on } S^1 \times \mathcal{R}^{4+1} \times \mathcal{R}^5/Z_2 = \text{IIA on } \mathcal{R}^{4+1} \times \mathcal{R}^5/Z_2$$

IIA theory must remember
this M-theory origin

Aharony+Berkooz+Kachru
+Seiberg+Silverstein 1998

⋮

Hwang+Kim+Kim 2016

⋮

by forming an infinite tower of
multi D-particle bound states
along the O4 orientifold
with some D4-branes

M theory on a circle

$$\text{M on } S^1 \times \mathcal{R}^{0+1} \times \mathcal{R}^9 / Z_2 = \text{IIA on } \mathcal{R}^{0+1} \times \mathcal{R}^9 / Z_2$$

IIA theory must remember
this M-theory origin

Dasgupta+Mukhi 1995
Kol+Hanany+Rajaraman 1999
Kac+Smilga 1999

⋮

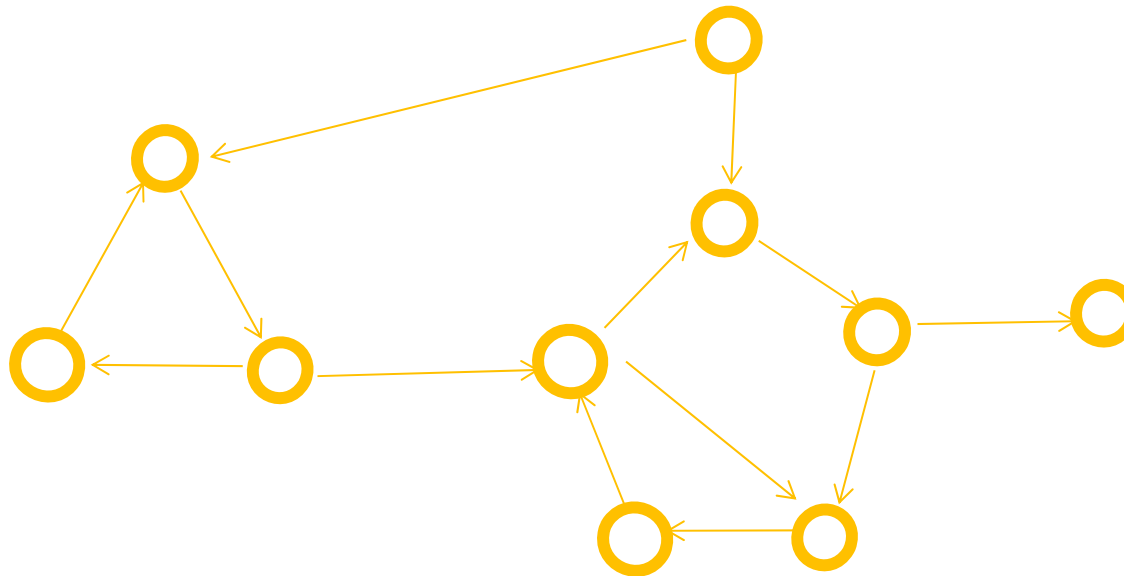
S.J.Lee + P.Y. 2016 & to appear

by forming an infinite tower of
multi D-particle bound states
localized at the orientifold point

numerous D-brane counting problems, including these,
call for general **Witten index** program for
supersymmetric gauged theories,

which saw a reasonable completion only last few years.

such as for quiver gauge theories, relevant for
calabi-yau compactification, wall-crossing,
and black hole microstate counting



Witten index as a twisted partition function
(Witten 1982)

prototype : supersymmetric harmonic oscillators

$$[a, a^\dagger] = aa^\dagger - a^\dagger a = 1$$

$$\{b, b^\dagger\} = bb^\dagger + b^\dagger b = 1 \qquad b^2 = 0 = (b^\dagger)^2$$

$$\begin{aligned} H &= \hbar\omega \left[(a^\dagger a + aa^\dagger) / 2 + (b^\dagger b - bb^\dagger) / 2 \right] = \hbar\omega (a^\dagger a + 1/2) + \hbar\omega (b^\dagger b - 1/2) \\ &= H_B + H_F \end{aligned}$$

partition function vs.

$$[a, a^\dagger] = aa^\dagger - a^\dagger a = 1$$

$$\{b, b^\dagger\} = bb^\dagger + b^\dagger b = 1$$

$$\begin{aligned} H &= \hbar\omega \left[(a^\dagger a + aa^\dagger) / 2 + (b^\dagger b - bb^\dagger) / 2 \right] = \hbar\omega (a^\dagger a + 1/2) + \hbar\omega (b^\dagger b - 1/2) \\ &= H_B + H_F \end{aligned}$$

$$\begin{aligned} Z &= \text{tr} \left[e^{-\beta H} \right] = \text{tr}_B e^{-\beta H_B} \times \text{tr}_F e^{-\beta H_F} \\ &= (1/2 \sinh(\beta \hbar\omega / 2)) \times 2 \cosh(\beta \hbar\omega / 2) \\ &= 1 / \tanh(\beta \hbar\omega / 2) \end{aligned}$$

twisted partition function

$$[a, a^\dagger] = aa^\dagger - a^\dagger a = 1$$

$$\{b, b^\dagger\} = bb^\dagger + b^\dagger b = 1$$

$$\begin{aligned} H &= \hbar\omega \left[(a^\dagger a + aa^\dagger) / 2 + (b^\dagger b - bb^\dagger) / 2 \right] = \hbar\omega (a^\dagger a + 1/2) + \hbar\omega (b^\dagger b - 1/2) \\ &= H_B + H_F \end{aligned}$$

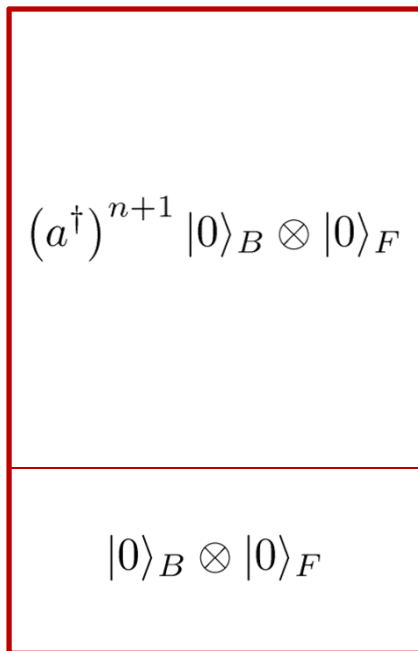
$$\begin{aligned} Z_{twisted} &= \text{tr} \left[(-1)^F e^{-\beta H} \right] = \text{tr} e^{-\beta H_B} \times \text{tr} (-1)^F e^{-\beta H_F} \\ &= (1/2 \sinh(\beta \hbar \omega / 2)) \times 2 \sinh(\beta \hbar \omega / 2) \\ &= 1 \end{aligned}$$

why an integer ?

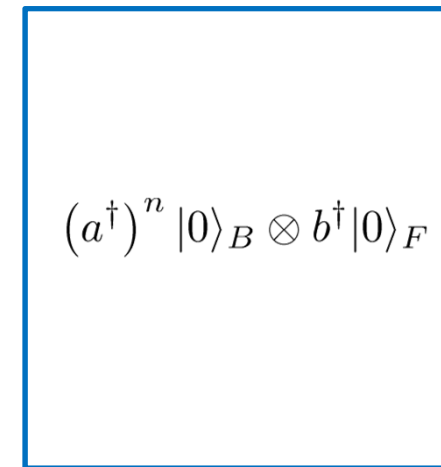
$$H = \hbar \omega Q^2$$

$$(-1)^F Q + Q(-1)^F = 0$$

$(-1)^F = 1$ bosonic



fermionic $(-1)^F = -1$



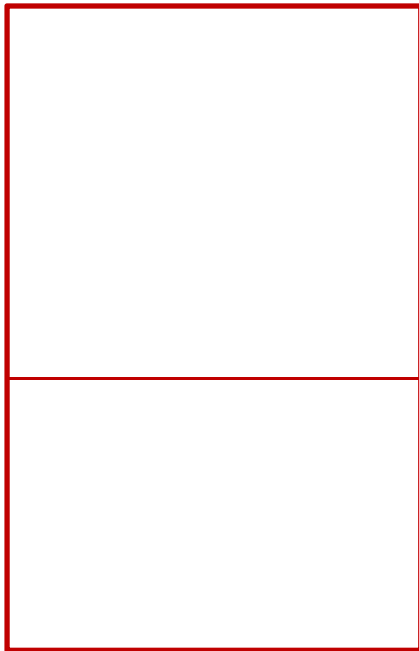
$$\begin{array}{c} \xrightarrow{Q = a^\dagger b + b^\dagger a} \\ \xleftarrow{Q = a^\dagger b + b^\dagger a} \end{array}$$

a twisted partition function is **enumerative** because

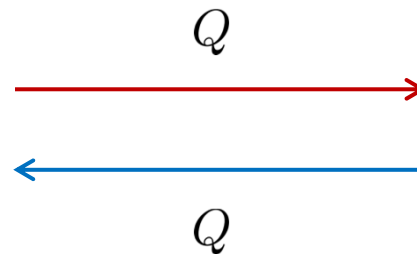
$$H = \hbar w Q^2$$

$$(-1)^F Q + Q(-1)^F = 0$$

$(-1)^F = 1$ bosonic



fermionic $(-1)^F = -1$

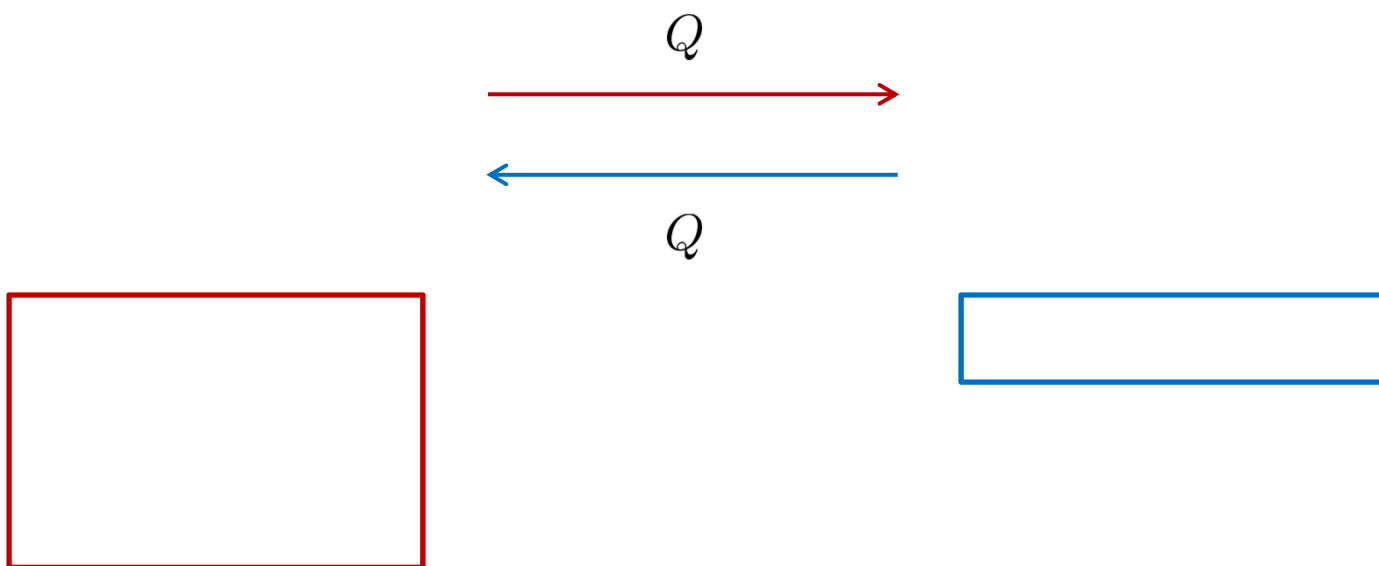


and defines the Witten index

$$Z_{twisted} = \text{tr}_{\text{bosonic}} 1 - \text{tr}_{\text{fermionic}} 1 = \text{Index}(Q)$$

$$(-1)^F = 1$$

$$(-1)^F = -1$$

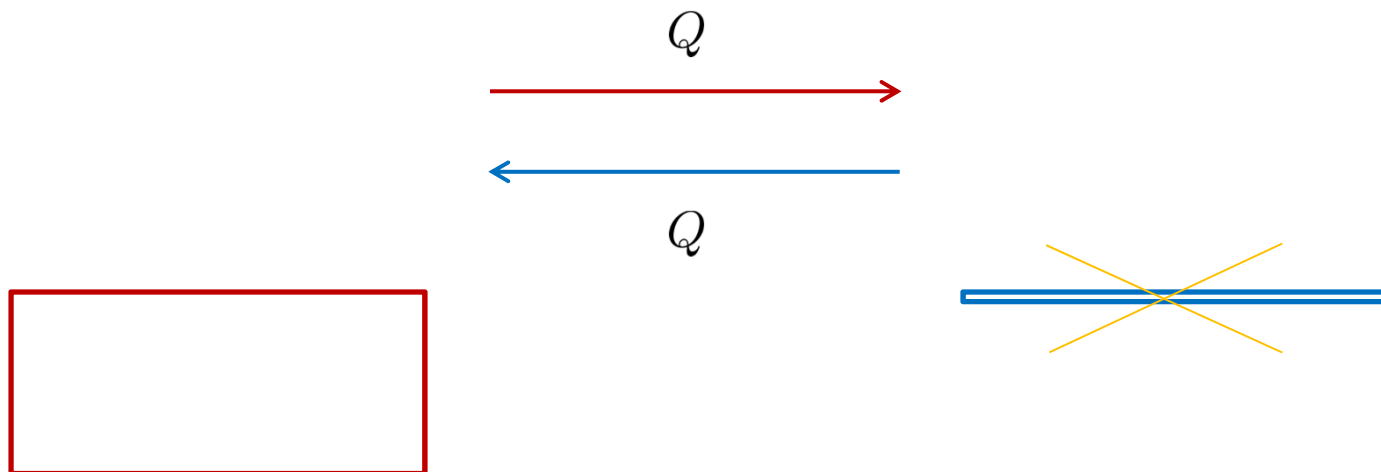


which sometimes counts the entire ground state sector

$$Z_{twisted} = \text{tr}_{\text{bosonic}} 1 - \cancel{\text{tr}_{\text{fermionic}} 1} = \text{Index}(Q)$$

$$(-1)^F = 1$$

$$(-1)^F = -1$$



twisted partition function as a path integral
(Alvarez-Gaume 1983)

can one compute such things as a path integral?

$$H_B \leftarrow L_B = \frac{1}{2} (\dot{x}^2 - w^2 x^2)$$

$$H_F \leftarrow L_F = i\psi^\dagger \dot{\psi} - w\psi^\dagger \psi$$

can one compute such things as a path integral?

$$H_B \leftarrow L_B = \frac{1}{2} (\dot{x}^2 - w^2 x^2)$$

$$\text{tr} [e^{-\beta H_B}] = \int [dx]_{\text{periodic BC}} e^{-\int_0^\beta L_B^{\text{Euclidean}} d\tau}$$

$$= 1/\sqrt{\text{Det}(-\partial_\tau^2 + w^2)}$$

$$= 1/(\prod_{n \in \mathbf{Z}} ((2\pi n/\beta)^2 + w^2))^{1/2}$$

$$= 1/w \times 1/\prod_{n>0} ((2\pi n/\beta)^2 + w^2)$$

can one compute such things as a path integral?

$$H_F \leftarrow L_F = i\psi^\dagger \dot{\psi} - w\psi^\dagger \psi$$

$$\int [d\psi^\dagger d\psi]_{\text{which BC?}} e^{-\int_0^\beta L_F^{Euclidean} d\tau}$$

$$= \text{Det}(\partial_\tau + w)_{\text{which BC?}}$$

$$= \begin{cases} \omega \times \prod_{n>0} ((2\pi n/\beta)^2 + w^2) & \text{periodic BC} \\ \prod_{n\geq 0} ((2\pi(n+1/2)/\beta)^2 + w^2) & \text{antiperiodic BC} \end{cases}$$

can one compute such things as a path integral?

$$H_F \leftarrow L_F = i\psi^\dagger \dot{\psi} - w\psi^\dagger \psi$$

$$\int [d\psi^\dagger d\psi]_{\text{which BC?}} e^{-\int_0^\beta L_F^{Euclidean} d\tau}$$

$$= \text{Det}(\partial_\tau + w)_{\text{which BC?}}$$

$$= \left\{ \begin{array}{ll} 2 \sinh(\beta w/2) & \text{periodic BC} \\ 2 \cosh(\beta w/2) & \text{antiperiodic BC} \end{array} \right\} = \left\{ \begin{array}{l} \text{tr}(-1)^F e^{-\beta H_F} \\ \text{tr} e^{-\beta H_F} \end{array} \right\}$$

therefore,

$$Z_{twisted} = \text{tr} \left[(-1)^F e^{-\beta H} \right] = \text{tr} e^{-\beta H_B} \times \text{tr} (-1)^F e^{-\beta H_F}$$

$$= \int [dx d\psi^\dagger d\psi]_{\text{periodic BC for all!}} e^{-\int_0^\beta L^{Euclidean} d\tau}$$

$$= \frac{1}{\sqrt{\text{Det}(-\partial_\tau^2 + w^2)}} \times \text{Det}(\partial_\tau + \omega) \Big|_{\text{periodic}}$$

$$= 1 = \text{Tr}(-1)^F e^{-\beta H}$$

this trivial example contains many basic structures
that one needs to attack arbitrary interacting gauge theories

this program for Witten index, now revived
under the new flag of **localization**,
produced exact formulae for diverse susy gauge theories

supersymmetric localization is a way to reduce path-integral
to that of harmonic oscillators,
relying on the robust nature of the twisted partition function

$$Z_t(\mathcal{O}) = \int e^{-S-tQ\Psi} \mathcal{O}$$

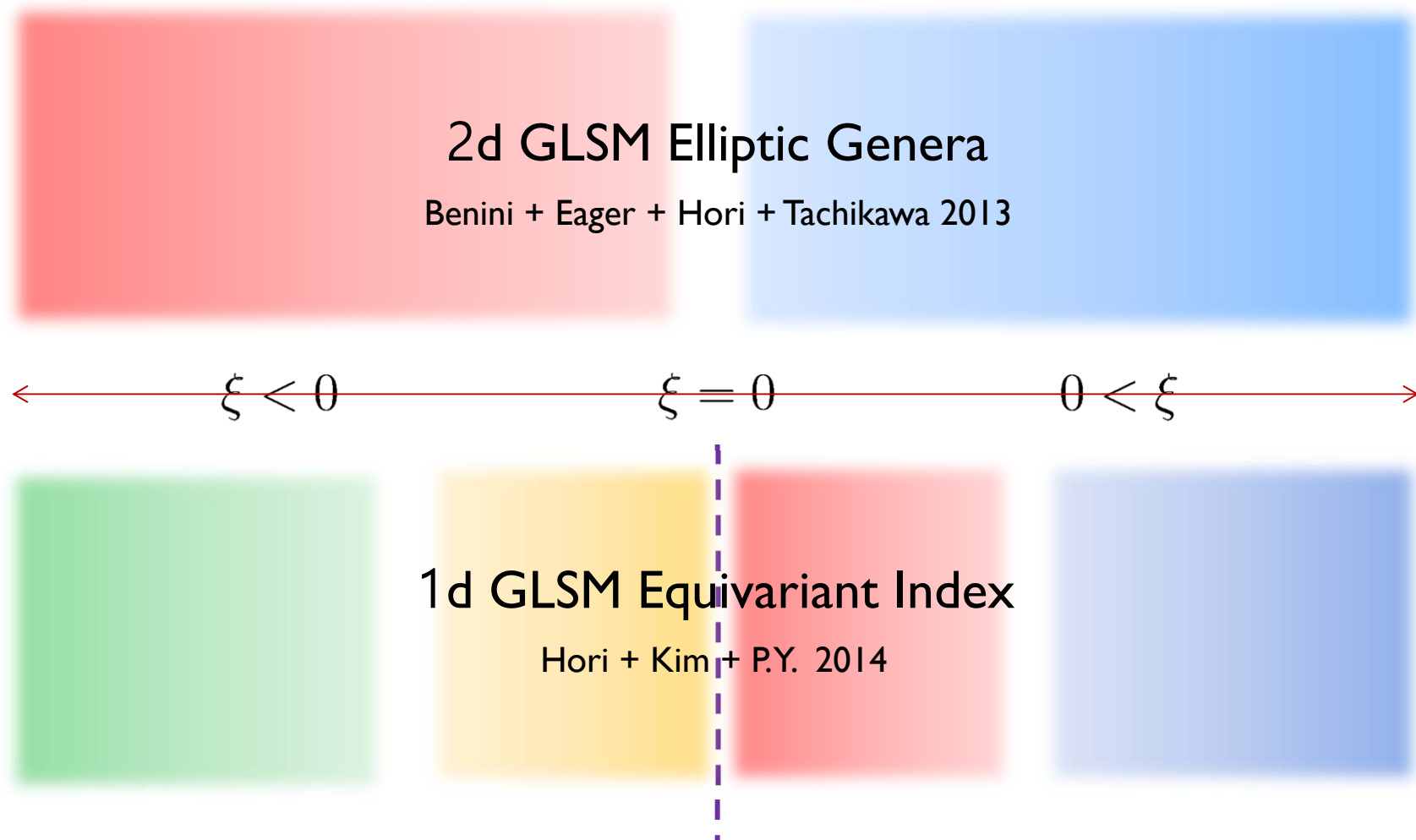
$$\partial_t Z_t(\mathcal{O}) = 0$$

$$[Q, \mathcal{O}] = 0$$

$$Q^2 = G$$

$$[G, \mathcal{O}] = 0$$

in particular, powerful and comprehensive formalism have emerged for low-dimensional gauged linear sigma models



$$Q = H$$

$$\{Q, (-1)^F\} = 0$$

$$[Q, G_F] = 0$$

refined Witten index of d=1 N \geq 2 GLSM

$$\mathcal{I}(x) \equiv \lim_{\beta \rightarrow \infty} \text{Tr} \left[(-1)^F x^{G_F} e^{-\beta H} \right]$$

$$Q = H$$

$$\{Q, (-1)^{2J_3}\} = 0$$

$$[Q, G_F] = 0$$

$$[Q, R + J_3] = 0$$

refined Witten index of $d=1$ $N \geq 4$ GLSM

$$\mathcal{I}(\mathbf{y}; x) \equiv \lim_{\beta \rightarrow \infty} \text{Tr} \left[(-1)^{2J_3} \mathbf{y}^{2(R+J_3)} x^{G_F} e^{-\beta H} \right]$$

$N \geq 4$ compact
and geometric

$$\mathrm{Tr} \left[(-1)^{2J_3} \mathbf{y}^{2(R+J_3)} x^{G_F} e^{-\beta H} \right]$$

$$\rightarrow = \sum_{p,q} (-1)^{p+q-d} \mathbf{y}^{2p-d} \dim H^{(p,q)}(\mathcal{M})$$

$$= (-\mathbf{y})^{-d} \mathcal{I}_{\mathrm{Hirzebruch}}(z = -\mathbf{y}^2)$$

x -independent

$$\mathcal{I}_{\text{Hirzebruch}}(z) = \sum_p z^p \sum_q (-1)^q h^{p,q}(\mathcal{M})$$

$$h^{d,d}$$

$$h^{d,d-1}$$

$$h^{d-1,d}$$

$$\dots$$

$$\dots$$

$$\dots$$

$$h^{d,0}$$

$$\dots$$

$$\dots$$

$$h^{0,d}$$

$$\dots$$

$$\dots$$

$$\dots$$

$$h^{1,0}$$

$$h^{0,1}$$

$$h^{0,0}$$

the localization = reduction to a Gaussian path integral via continuous and small parameter shift that will not change the path integral

$$\mathcal{L}_{\text{vector}} = \frac{1}{e^2} \operatorname{Re} \left(\int d\theta^2 \operatorname{tr} W_\alpha W^\alpha \right)$$

$$\mathcal{L}_{\text{chiral}} = \frac{1}{g^2} \int d\theta^2 d\bar{\theta}^2 \operatorname{tr} \bar{\Phi} e^V \Phi$$

$$\mathcal{L}_{\text{usperpotential}} = \int d\theta^2 W(\Phi) + c.c.$$

$$\mathcal{L}_{\text{FI}} = \xi \int d\theta^2 d\bar{\theta}^2 \operatorname{tr} V$$

Benini + Eager + Hori + Tachikawa 2013

Hori + Kim + P.Y. 2014

the limit we take is $e^2 \rightarrow 0$ which reduces
the path-integral to that of many harmonic oscillators




$$\begin{aligned}\Omega &\equiv \lim_{e^2 \rightarrow 0} \text{Tr} \left[(-1)^{2J_3} \mathbf{y}^{2J_3+2R} x^{G_F} e^{-\beta Q^2} \right] & [Q, J_3 + R] = 0 \\ &= \lim_{e^2 \rightarrow 0} \int_{\text{periodic}} [dX \cdots d\phi \cdots] e^{-\int_0^\beta d\tau \mathcal{L}_E} \Bigg|_{\partial_\tau \rightarrow \partial_\tau + (2J_3+2R) \log(\mathbf{y})/\beta + \cdots}\end{aligned}$$

$$\text{cf) } \mathcal{I} \equiv \lim_{\beta \rightarrow \infty} \text{Tr} \left[(-1)^{2J_3} \mathbf{y}^{2J_3+2R} x^{G_F} e^{-\beta Q^2} \right]$$

the limit we take is $e^2 \rightarrow 0$ which reduces
the path-integral to that of many harmonic oscillators

$$\Omega \equiv \lim_{e^2 \rightarrow 0} \text{Tr} \left[(-1)^{2J_3} \mathbf{y}^{2J_3+2R} x^{G_F} e^{-\beta Q^2} \right] \quad u = A_3 + iA_\tau \Big|_{\text{zeromode}}^{\text{Cartan}}$$

$$= \int_{M_u} du \, d\bar{u} \int_{\mathbf{R}+i\delta} dD \left[h(u, \bar{u}; D) \cdot g(u, \bar{u}; D) \cdot e^{-\frac{D^2}{e^2} + i\xi D} \right]$$



zero mode of gauge multiplets from integral over gaugino zero mode one-loop determinants of everything else

$$g(u, \bar{u}; D) \sim \prod_Q \prod_n \frac{(2\pi n i + Qu - (R-2)\log(\mathbf{y} + \cdots)) \cdot (-2\pi n i + Qu - R\log(\mathbf{y}) + \cdots)}{|2\pi n i + Qu - R\log(\mathbf{y}) + \cdots|^2 - iQD}$$

scale up FI to send $e\xi$ to infinite,
then, after a long, long, long song and dance,

path integral reduces to a boundary integral on

$$M_u = (C^*)^{\text{rank}} \setminus \cup H_*^Q$$

of a meromorphic function

singular
hyperplanes



$$g(u, \bar{u}; D=0) = \left(\frac{1}{\mathbf{y} - \mathbf{y}^{-1}} \right)^{\text{rank}} \prod_{\alpha} \frac{t^{-\alpha/2} - t^{\alpha/2}}{t^{\alpha/2} \mathbf{y}^{-1} - t^{-\alpha/2} \mathbf{y}}$$

$$u = \log(t) \times \prod_i \frac{t^{-Q_i/2} x^{-F_i/2} \mathbf{y}^{-(R_i/2-1)} - t^{Q_i/2} x^{F_i/2} \mathbf{y}^{R_i/2-1}}{t^{Q_i/2} x^{F_i/2} \mathbf{y}^{R_i/2} - t^{-Q_i/2} x^{-F_i/2} \mathbf{y}^{-R_i/2}}$$

which often translates to a Jeffrey-Kirwan contour integral

$$\begin{aligned}\Omega &\equiv \lim_{e^2 \rightarrow 0} \text{Tr} \left[(-1)^{2J_3} \mathbf{y}^{2J_3+2R} x^{G_F} e^{-\beta Q^2} \right] \\ &= \sum \text{JK-Res}_{\eta: \{Q_i\}} g(u, \bar{u}; 0)\end{aligned}$$

Hori + Kim + P.Y. 2014

$$M_u = (C^*)^{\text{rank}} \setminus \cup H_*^Q$$

Szenes + Vergne 2004
Brion + M.Vergne 1999
Jeffrey + Kirwan 1993

$$\partial M_u = \cup_Q \partial \Delta^Q + \partial M_\infty$$

$$\{Q_i\} = \{Q^{\text{chiral}}\} \cup \{Q^{\text{vector}}\} \cup \{Q_\infty = -\xi\}$$

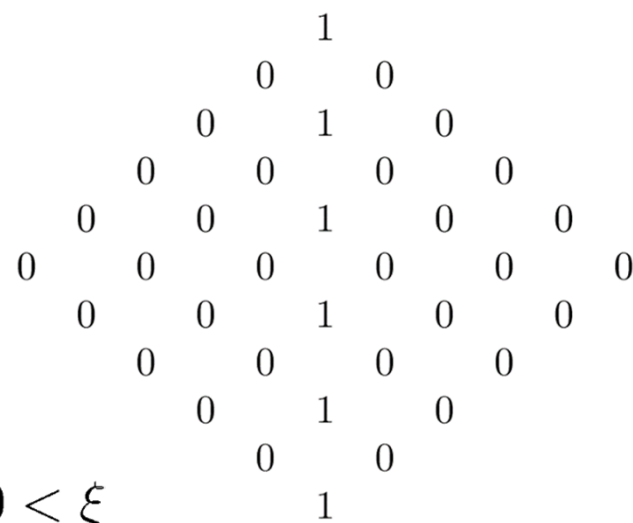
N=4 CP(N -1)

chirals	$U(1)$	$U(N)_F$
X	1	N

null

$$\xi < 0$$

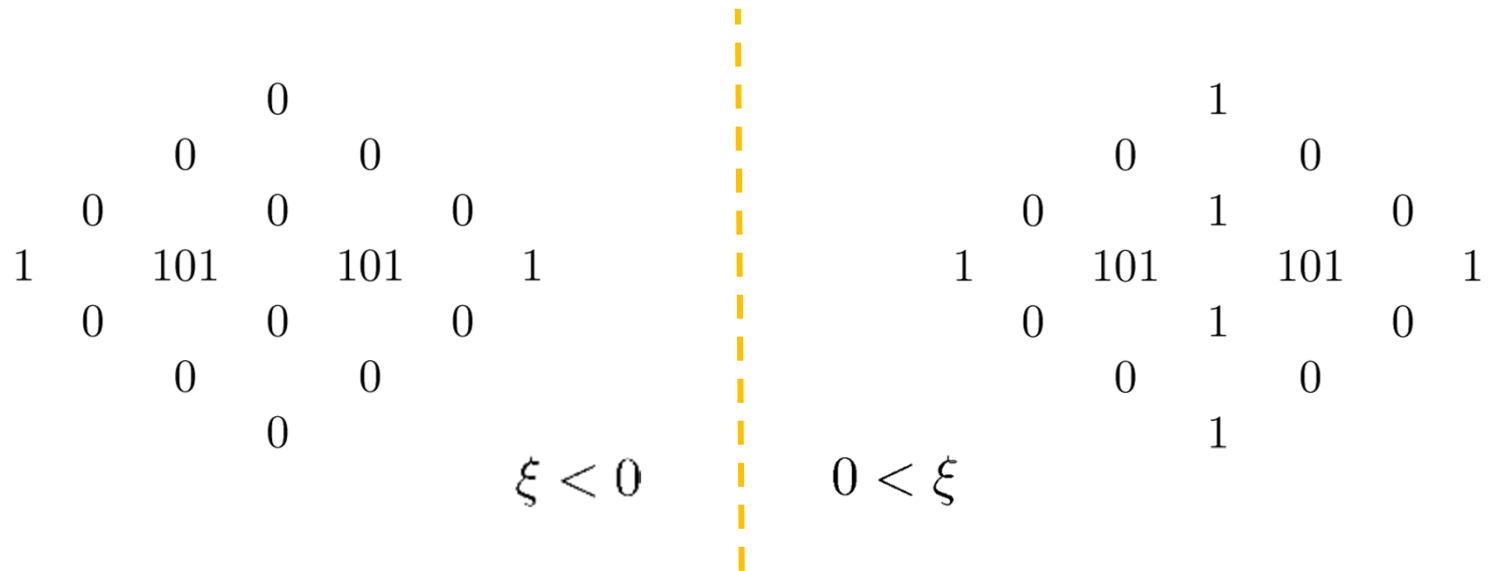
$$0 < \xi$$



$$N = 6$$

quintic CY3 hypersurface in CP4

	P	$X_{1,2,3,4,5}$
$U(1)$	-5	1



N=4 rank 2 GLSM Q.M. for CY3 in $WCP_{(1|1222)}$

	P	$X_{1,2}$	$Y_{1,2,3}$	Z
$U(1)_1$	-4	0	1	1
$U(1)_2$	0	1	0	-2

			0		
		0		0	
	0		0		0
1		86		86	1
	0		0		0
		0		0	
			0		

hybrid

Landau-Ginsburg

			0		
		0		0	
	0		0		0
1		83		83	1
	0		0		0
		0		0	
			0		

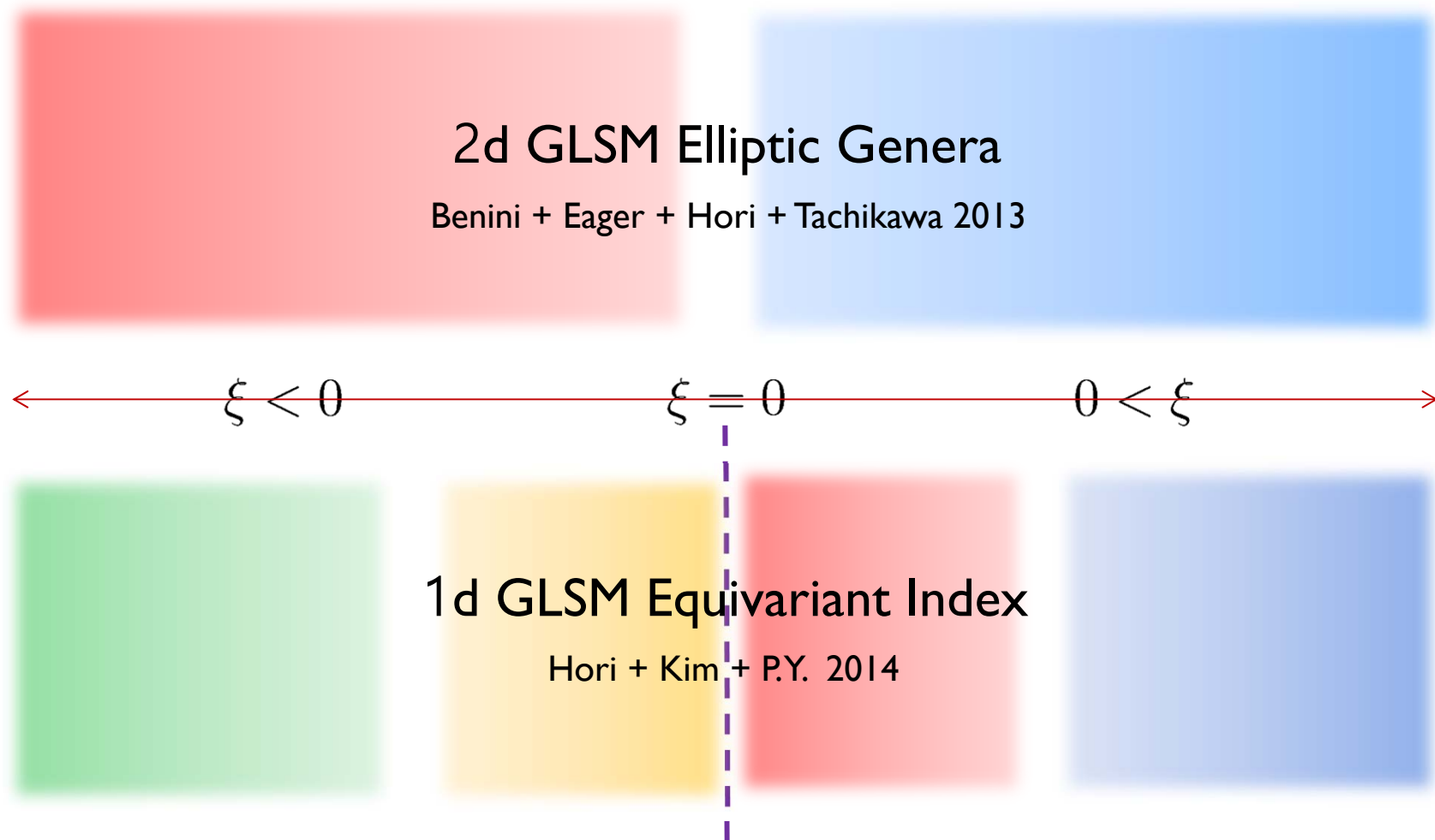
			1		
		0		0	
	0		2		0
1		86		86	1
	0		2		0
		0		0	
			1		

geometric

orbifold

			1		
		0		0	
	0		1		0
1		83		83	1
	0		1		0
		0		0	
			1		

2d computations are closely parallel to this,
except that the wall-crossing discontinuity does not occur



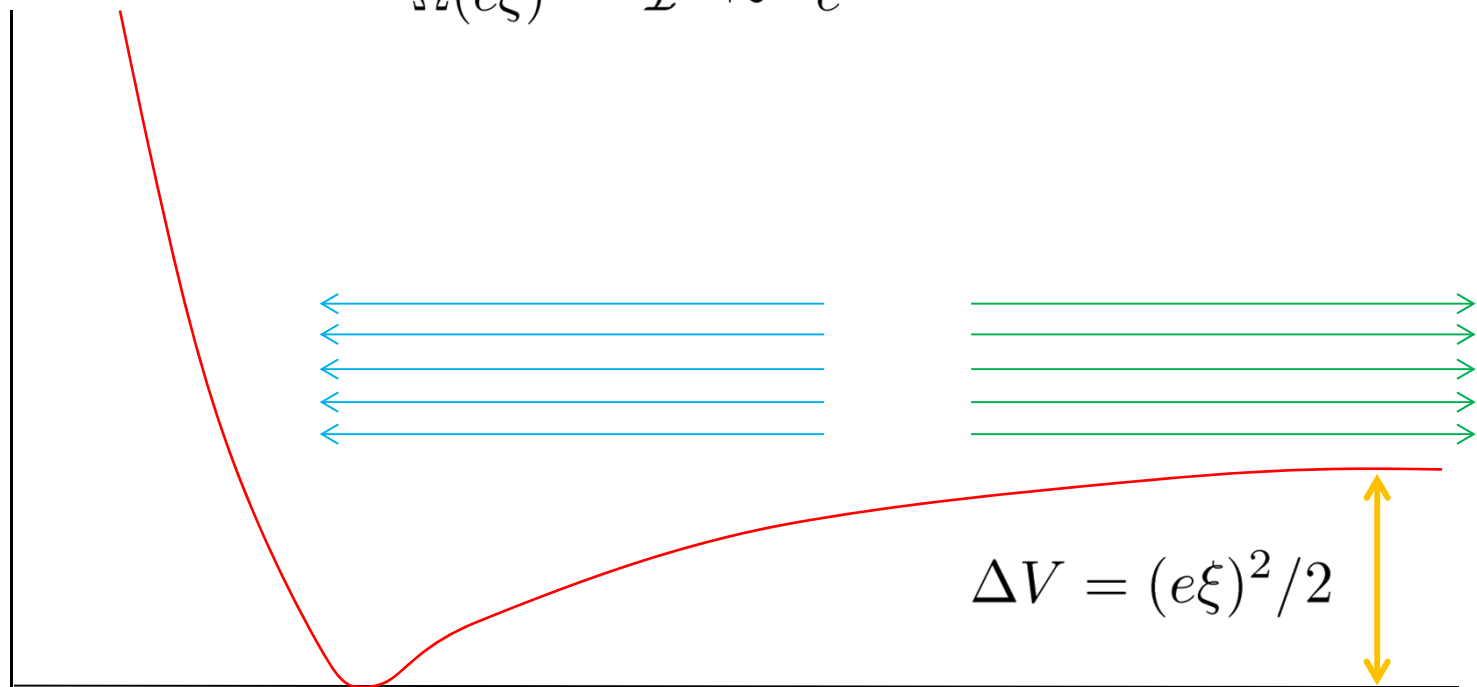
but how was ξ -dependence = wall-crossing possible at all
when the Witten index is supposed to be integral
and thus robust under any continuous parameter shift

such a naïve invariance argument always assumes
“small” deformation of the parameters,
meaning, nothing drastic should happen

however, vanishing FI constants always implies new
asymptotic runaway direction along vector multiplets,
invalidating Q-exactness across $\xi = 0$

extra contributions from the continuum, interpolating
across $\xi = 0$, which is dealt with by scaling up $e\xi$

$$\Omega(e\xi) - \mathcal{I} \sim e^{-(e\xi)^2/2}$$



this reminds us of typical subtleties that plague Witten index computation if an asymptotic direction is unavoidable

as we just saw, the twisted partition function need not even be integral if a flat asymptotic direction shows

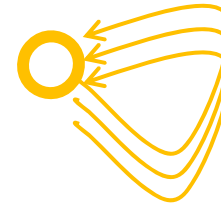
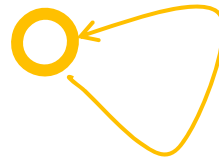
localization does not compute Witten index
but rather a twisted partition function

the two are not the same thing, in general

back to the M-theory problems and their cousins

$$\mathcal{N} = 4, 8, 16$$

supersymmetric Yang-Mills quantum mechanics



after rigorous applications of HKY procedure,



$$\Omega_{\mathcal{N}=4}^{SU(2)}(\mathbf{y}) = \frac{1}{2} \frac{1}{(\mathbf{y}^{-1} + \mathbf{y})}$$

$$\Omega_{\mathcal{N}=4}^{SU(3)}(\mathbf{y}) = \frac{1}{3} \frac{1}{(\mathbf{y}^{-2} + 1 + \mathbf{y}^2)}$$

$$\Omega_{\mathcal{N}=4}^{SU(4)}(\mathbf{y}) = \frac{1}{4} \frac{1}{(\mathbf{y}^{-3} + \mathbf{y}^{-1} + \mathbf{y} + \mathbf{y}^3)}$$

the fact that these features are not limited to
pure Yang-Mills quantum mechanics can be
inferred from the appearance of the **rational invariant**
in the refined wall-crossing formulae

$$\omega(\Gamma; \mathbf{y}) \equiv \sum_{p|\Gamma} \mathcal{I}(\Gamma/p; \mathbf{y}^p) \cdot \frac{\mathbf{y} - \mathbf{y}^{-1}}{p(\mathbf{y}^p - \mathbf{y}^{-p})}$$

Kontsevich+Soibelman 2008

Manschot+Pioline+Sen 2010

Kim+Park+Wang+P.Y. 2011

other rank 2 examples



$$\Omega_{\mathcal{N}=4}^{SO(4)}(\mathbf{y}) = \frac{1}{4} \frac{1}{(\mathbf{y}^{-1} + \mathbf{y})^2}$$

$$\Omega_{\mathcal{N}=4}^{SO(5)/Sp(2)}(\mathbf{y}) = \frac{1}{8} \left[\frac{2}{\mathbf{y}^{-2} + \mathbf{y}^2} + \frac{1}{(\mathbf{y}^{-1} + \mathbf{y})^2} \right]$$

$$\Omega_{\mathcal{N}=4}^{G_2}(\mathbf{y}) = \frac{1}{12} \left[\frac{2}{\mathbf{y}^{-2} - 1 + \mathbf{y}^2} + \frac{2}{\mathbf{y}^{-2} + 1 + \mathbf{y}^2} + \frac{1}{(\mathbf{y}^{-1} + \mathbf{y})^2} \right]$$

higher rank examples



$$\Omega_{\mathcal{N}=4}^{SU(4)/SO(6)}(\mathbf{y}) = \frac{1}{4} \frac{1}{(\mathbf{y}^{-3} + \mathbf{y}^{-1} + \mathbf{y} + \mathbf{y}^3)}$$

$$\Omega_{\mathcal{N}=4}^{SO(7)/Sp(3)}(\mathbf{y}) = \frac{1}{48} \left[\frac{8}{\mathbf{y}^{-3} + \mathbf{y}^3} + \frac{6}{(\mathbf{y}^{-2} + \mathbf{y}^2)(\mathbf{y}^{-1} + \mathbf{y})} + \frac{1}{(\mathbf{y}^{-1} + \mathbf{y})^3} \right]$$

$$\Omega_{\mathcal{N}=4}^{SO(8)}(\mathbf{y}) = \frac{1}{192} \left[\frac{32}{(\mathbf{y}^{-3} + \mathbf{y}^3)(\mathbf{y}^{-1} + \mathbf{y})} + \frac{12}{(\mathbf{y}^{-2} + \mathbf{y}^2)^2} + \frac{1}{(\mathbf{y}^{-1} + \mathbf{y})^4} \right]$$

which are organized as



$$\Omega_{\mathcal{N}=4}^G(\mathbf{y}) = \frac{1}{|W_G|} \sum_{w \in W_G}' \frac{1}{\text{Det}(\mathbf{y}^{-1} - \mathbf{y} \cdot w)}$$

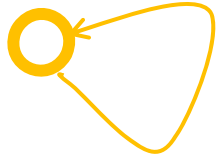
elliptic Weyl elements only
 $0 \neq \text{Det}(1 - w)$

Weyl group

elliptic Weyl elements for some classical groups

G	W	Elliptic Weyl Elements
$SU(N)$	S_N	$(123 \cdots N)$
$SO(4)$	$Z_2 \times S_2$	$(\dot{1})(\dot{2})$
$SO(5)/Sp(2)$	$(Z_2)^2 \times S_2$	$(1\dot{2}), (\dot{1})(\dot{2})$
$SO(6)$	$(Z_2)^2 \times S_3$	$(1\dot{2})(\dot{3})$
$SO(7)/Sp(3)$	$(Z_2)^3 \times S_3$	$(\dot{1}\dot{2}\dot{3}), (12\dot{3}), (1\dot{2})(\dot{3}), (\dot{1})(\dot{2})(\dot{3})$
$SO(8)$	$(Z_2)^3 \times S_4$	$(\dot{1}\dot{2}\dot{3})(\dot{4}), (12\dot{3})(\dot{4}), (1\dot{2})(3\dot{4}), (\dot{1})(\dot{2})(\dot{3})(\dot{4})$

pure $\mathcal{N} = 8$ Yang-Mills quantum mechanics



elliptic Weyl elements only
 $0 \neq \text{Det}(1 - w)$

$$\Omega_{\mathcal{N}=8}^G(\mathbf{y}, x) = \frac{1}{|W_G|} \sum'_{w \in W_G} \frac{1}{\text{Det}(\mathbf{y}^{-1} - \mathbf{y} \cdot w)} \cdot \frac{\text{Det}(\mathbf{y}^{-1} x^{1/2} - \mathbf{y} x^{-1/2} \cdot w)}{\text{Det}(x^{1/2} - x^{-1/2} \cdot w)}$$

Weyl group

why?

$$\mathcal{I} = \mathcal{I}_{\text{bulk}} + \delta\mathcal{I}$$



$$\lim_{\beta \rightarrow 0} \text{Tr} \left[(-1)^{2J_3} \mathbf{y}^{2J_3+2R} x^{G_F} e^{-\beta Q^2} \right]$$



$$\Omega \equiv \lim_{e^2 \rightarrow 0} \text{Tr} \left[(-1)^{2J_3} \mathbf{y}^{2J_3+2R} x^{G_F} e^{-\beta Q^2} \right]$$

→ very simple formulae for continuum sector contributions
when no bound states are expected



$$\begin{aligned}\Omega_{\mathcal{N}=4,8}^G &= \mathcal{I}_{\mathcal{N}=4,8;\text{bulk}}^G = -\delta \mathcal{I}_{\mathcal{N}=4,8}^G \\ &= -\delta \mathcal{I}_{\mathcal{N}=4,8}^{U(1)^r/W} \\ &= \mathcal{I}_{\mathcal{N}=4,8;\text{bulk}}^{U(1)^r/W}\end{aligned}$$

P.Y. 1997

Green+Gutperle 1997

Kac+Smilga 1999

S.J. Lee + P.Y., 2016

$\mathcal{N} = 16$ SU(N) theories, a.k.a. D0-brane bound state problem



$$\Omega_{\mathcal{N}=16}^{SU(N)}(\mathbf{y}, x) = \mathcal{I}_{\mathcal{N}=16}^{SU(N)} + \sum_{p|N; p>1} \mathcal{I}_{\mathcal{N}=16}^{SU(N/p)} \cdot \Delta_{\mathcal{N}=16}^{SU(p)}$$


$$\Delta_{\mathcal{N}=16}^G(\mathbf{y}, x) = \frac{1}{|W_G|} \sum'_{w \in W_G} \frac{1}{\text{Det}(\mathbf{y}^{-1} - \mathbf{y} \cdot w)} \cdot \prod_{a=1,2,3} \frac{\text{Det}(\mathbf{y}^{R_a-1} x^{F_a/2} - \mathbf{y}^{1-R_a} x^{-F_a/2} \cdot w)}{\text{Det}(\mathbf{y}^{R_a} x^{F_a/2} - \mathbf{y}^{-R_a} x^{-F_a/2} \cdot w)}$$

S.J. Lee + P.Y., 2016

$\mathcal{N} = 16$ SU(N) theories, a.k.a. D0-brane bound state problem



$$\Omega_{\mathcal{N}=16}^{SU(N)}(\mathbf{y}, x) = \mathcal{I}_{\mathcal{N}=16}^{SU(N)} + \sum_{p|N; p>1} \mathcal{I}_{\mathcal{N}=16}^{SU(N/p)} \cdot \Delta_{\mathcal{N}=16}^{SU(p)}$$

$\mathbf{y} \rightarrow 1$ 

$$\rightarrow \sum_{p|N} 1 \times \frac{1}{p^2} \quad \xrightarrow{\text{yellow arrow}} \quad \mathcal{I}_{\mathcal{N}=16}^{SU} = 1$$

P.Y. / Sethi, Stern 1997

Green, Gutperle 1997 + Nekrasov, Moore, Shatashvili 1998

S.J. Lee + P.Y., 2016

generalizes to other $\mathcal{N} = 16$ theories

$$\Omega_{\mathcal{N}=16}^G(\mathbf{y}, x) = \mathcal{I}_{\mathcal{N}=16}^G + \sum_{G' \subset G; G' \neq G} \# \cdot \Delta_{\mathcal{N}=16}^{G'}$$

$$\Delta_{\mathcal{N}=16}^G(\mathbf{y}, x) = \frac{1}{|W_G|} \sum'_{w \in W_G} \frac{1}{\text{Det}(\mathbf{y}^{-1} - \mathbf{y} \cdot w)} \cdot \prod_{a=1,2,3} \frac{\text{Det}(\mathbf{y}^{R_a-1} x^{F_a/2} - \mathbf{y}^{1-R_a} x^{-F_a/2} \cdot w)}{\text{Det}(\mathbf{y}^{R_a} x^{F_a/2} - \mathbf{y}^{-R_a} x^{-F_a/2} \cdot w)}$$

or more definitely,

$$\Omega_{\mathcal{N}=16}^{SO(5)/Sp(2)} = 1 + 2\Delta_{\mathcal{N}=16}^{SO(3)/Sp(1)} + \Delta_{\mathcal{N}=16}^{SO(5)/Sp(2)}$$

$$\Omega_{\mathcal{N}=16}^{G_2} = 2 + 2\Delta_{\mathcal{N}=16}^{SU(2)} + \Delta_{\mathcal{N}=16}^{G_2}$$

$$\Omega_{\mathcal{N}=16}^{SO(7)} = 1 + 3\Delta_{\mathcal{N}=16}^{SO(3)} + \left(\Delta_{\mathcal{N}=16}^{SO(3)}\right)^2 + \Delta_{\mathcal{N}=16}^{SO(5)} + \Delta_{\mathcal{N}=16}^{SO(7)}$$

$$\Omega_{\mathcal{N}=16}^{Sp(3)} = 2 + 3\Delta_{\mathcal{N}=16}^{Sp(1)} + \left(\Delta_{\mathcal{N}=16}^{Sp(1)}\right)^2 + \Delta_{\mathcal{N}=16}^{Sp(2)} + \Delta_{\mathcal{N}=16}^{Sp(3)}$$

$$\Omega_{\mathcal{N}=16}^{SO(8)} = 2 + 4\Delta_{\mathcal{N}=16}^{SO(3)} + 2\left(\Delta_{\mathcal{N}=16}^{SO(3)}\right)^2 + \left(\Delta_{\mathcal{N}=16}^{SO(3)}\right)^3 + 3\Delta_{\mathcal{N}=16}^{SO(5)} + \Delta_{\mathcal{N}=16}^{SO(8)}$$

$$\Omega_{\mathcal{N}=16}^{SO(9)} = 2 + 4\Delta_{\mathcal{N}=16}^{SO(3)} + 2\left(\Delta_{\mathcal{N}=16}^{SO(3)}\right)^2 + 2\Delta_{\mathcal{N}=16}^{SO(5)} + \Delta_{\mathcal{N}=16}^{SO(3)} \cdot \Delta_{\mathcal{N}=16}^{SO(5)} + \Delta_{\mathcal{N}=16}^{SO(7)} + \Delta_{\mathcal{N}=16}^{SO(9)}$$

$$\Omega_{\mathcal{N}=16}^{Sp(4)} = 2 + 5\Delta_{\mathcal{N}=16}^{Sp(1)} + 2\left(\Delta_{\mathcal{N}=16}^{Sp(1)}\right)^2 + 2\Delta_{\mathcal{N}=16}^{Sp(2)} + \Delta_{\mathcal{N}=16}^{Sp(1)} \cdot \Delta_{\mathcal{N}=16}^{Sp(2)} + \Delta_{\mathcal{N}=16}^{Sp(3)} + \Delta_{\mathcal{N}=16}^{Sp(4)}$$

$$\Delta_{\mathcal{N}=16}^G(\mathbf{y}, x) = \frac{1}{|W|} \sum'_w \frac{1}{\text{Det}(\mathbf{y}^{-1} - \mathbf{y} \cdot w)} \cdot \prod_{a=1,2,3} \frac{\text{Det}(\mathbf{y}^{R_a-1} x^{F_a/2} - \mathbf{y}^{1-R_a} x^{-F_a/2} \cdot w)}{\text{Det}(\mathbf{y}^{R_a} x^{F_a/2} - \mathbf{y}^{-R_a} x^{-F_a/2} \cdot w)}$$

even without the full understanding of the recursive structure for the continuum contributions, the results suffice for reading off the Witten index $\mathcal{I}_{\mathcal{N}=16}^G$ from the unique integral part

$$\mathcal{I}_{\mathcal{N}=16}^{SO(5)=Sp(2)} = 1$$

$$\mathcal{I}_{\mathcal{N}=16}^{G_2} = 2$$

$$\mathcal{I}_{\mathcal{N}=16}^{SO(7)} = 1$$

$$\mathcal{I}_{\mathcal{N}=16}^{Sp(3)} = 2$$

$$\mathcal{I}_{\mathcal{N}=16}^{Sp(8)} = 2$$

$$\mathcal{I}_{\mathcal{N}=16}^{SO(9)} = 2$$

$$\mathcal{I}_{\mathcal{N}=16}^{Sp(4)} = 2$$

\vdots



$$\Omega_{\mathcal{N}=4,8,16}^G(\mathbf{y}, x) \Big|_{\mathbf{y} \rightarrow 1}$$

$$= \mathcal{I}_{\mathcal{N}=4,8;16}^G \Big|_{\text{bulk}; \mathbf{y} \rightarrow 1}$$

cf) [Moore, Nekrasov, Shatashvili 1998](#)
[Kac, Smilga 1999](#)
[Staudacher 2000](#)
[Pestun 2002](#)

	$\mathcal{N} = 4, 8$	$\mathcal{N} = 16$
$SU(N)$	$\frac{1}{N^2}$	$\sum_{p N} \frac{1}{p^2}$
$SO(4)$	$\frac{1}{16}$	$\frac{25}{16}$
$SO(6) = SU(4)$	$\frac{1}{16}$	$\frac{21}{16}$
$SO(8)$	$\frac{59}{1024}$	$\frac{3755}{1024}$
$SO(5)$	$\frac{5}{32}$	$\frac{53}{32}$
$SO(7)$	$\frac{15}{128}$	$\frac{267}{128}$
$SO(9)$	$\frac{195}{2048}$	$\frac{7555}{2048}$
$Sp(2)$	$\frac{5}{32}$	$\frac{53}{32}$
$Sp(3)$	$\frac{15}{128}$	$\frac{395}{128}$
$Sp(4)$	$\frac{195}{2048}$	$\frac{8067}{2048}$
G_2	$\frac{35}{144}$	$\frac{395}{144}$

general answer for $\mathcal{N} = 16$ with other classical groups

$$\sum_N \mathcal{I}_{\mathcal{N}=16}^{SU(N)} t^N = \frac{1}{1-t}$$

$$\sum_N \mathcal{I}_{\mathcal{N}=16}^{SO(N)} t^N = \prod_{n=1}^{\infty} (1 + t^{2n-1})$$

$$\sum_N \mathcal{I}_{\mathcal{N}=16}^{Sp(N)} t^{2N} = \prod_{n=1}^{\infty} (1 + t^{2n})$$

and, for $O(N)$, after some more similar procedure
that take the parity projection into account,

$$\sum_N \mathcal{I}_{\mathcal{N}=16}^{SU(N)} t^N = \frac{1}{1-t}$$

$$\sum_N \mathcal{I}_{\mathcal{N}=16}^{O(N)} t^N = \prod_{n=1}^{\infty} (1 + t^{2n-1})$$

$$\sum_N \mathcal{I}_{\mathcal{N}=16}^{Sp(N)} t^{2N} = \prod_{n=1}^{\infty} (1 + t^{2n})$$

the latter two count threshold bound states
of D-particles at an \mathcal{R}^9/Z_2 orientifold point

$$\sum_N \mathcal{I}_{\mathcal{N}=16}^{SU(N)} t^N = \frac{1}{1-t}$$

$$\mathbf{S}^1 \times \mathcal{R}^{9+1}$$

$$\sum_N \mathcal{I}_{\mathcal{N}=16}^{O(N)} t^N = \prod_{n=1}^{\infty} (1 + t^{2n-1})$$

$$\mathbf{S}^1 \times \mathcal{R}^{0+1} \times \mathcal{R}^9/Z_2$$

$$\sum_N \mathcal{I}_{\mathcal{N}=16}^{Sp(N)} t^{2N} = \prod_{n=1}^{\infty} (1 + t^{2n})$$

finally, back to M theory on a circle, with an Orientifold point

$$\text{M on } S^1 \times \mathcal{R}^{0+1} \times \mathcal{R}^9/Z_2 = \text{IIA on } \mathcal{R}^{0+1} \times \mathcal{R}^9/Z_2$$

anomaly cancelation requires
a single chiral fermion
supported on $S^1 \times \mathcal{R}^{0+1}$

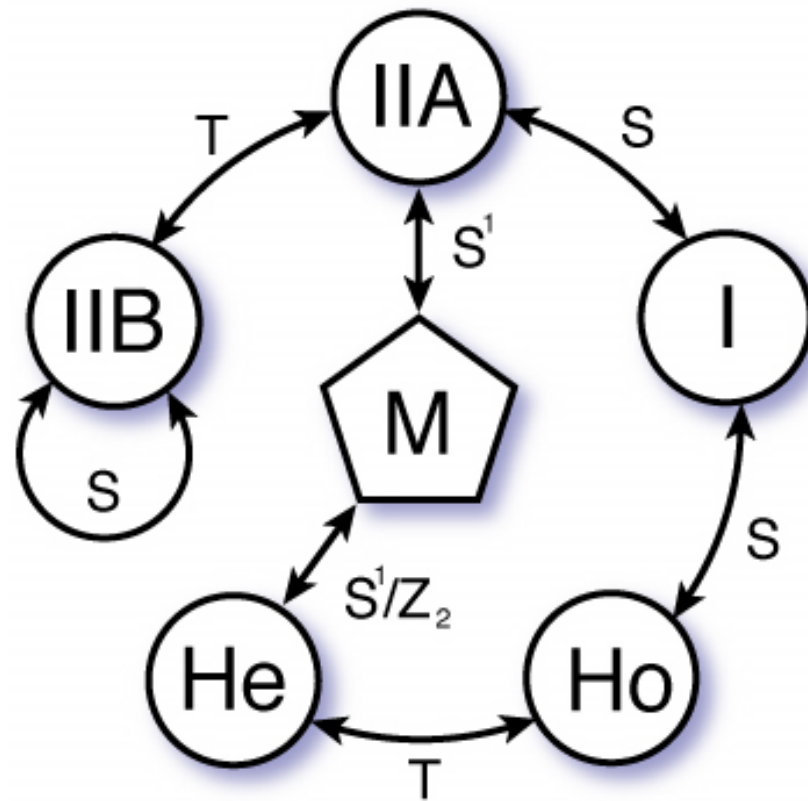


Kaluza-Klein reduction generates two
towers of fermionic harmonic oscillators,
resulting in four Hilbert spaces
whose partition functions constitute
the two generating functions above

Dasgupta+Mukhi 1995

Kol+Hanany+Rajaraman 1999

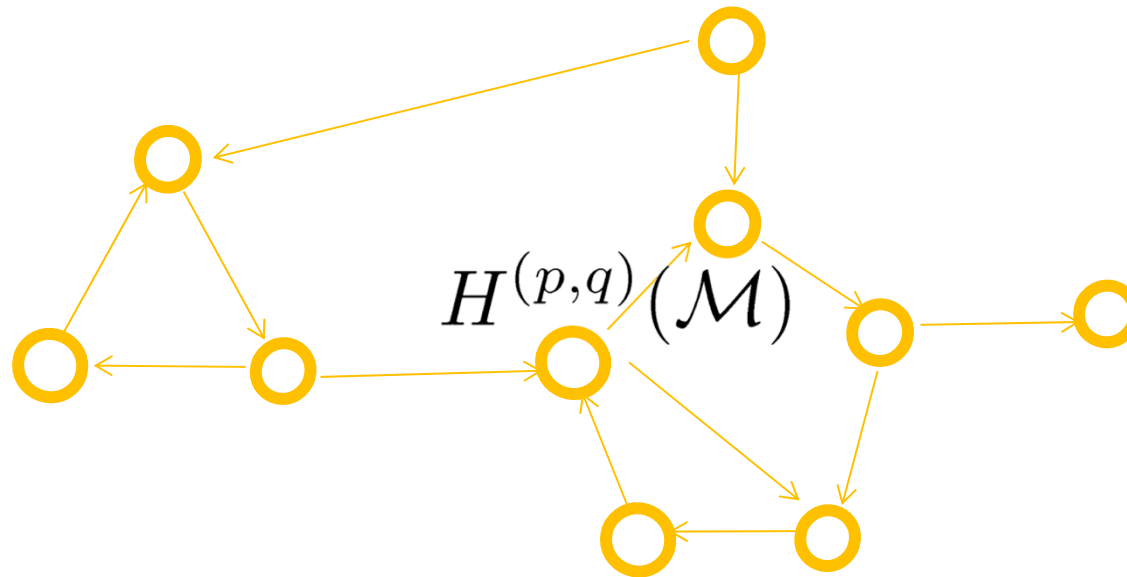
offering us a closure to a remarkable journey
that most directly explored M/String dualities



more importantly, this program is leading us to
the world of supersymmetric invariants
with rich physical and mathematical ramifications

witten index / scf index for gauge theories

Seiberg dualities / mutation



wall-crossing

quiver invariants
J=0 single center black holes