GEDANKEN FXPFRIMENT TO DESTROY A BTZ BLACK HOLE

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Based on 1902.00949 (PRD 2019) with Baoyi Chen (Caltech) & Bo Ni ng (Sichuan U) The black hole contains a curvature singularity, which will not be naked if the mass M, charge Q and angular momentum J=aM satisfying

$M^2 \ge a^2 + Q^2.$

- ► When the equality holds, it is called extremal black hole.
- Penrose proposed the so-called weak cosmic censorship conjecture (WCCC) that a gravitational singularity should be hidden inside a black hole horizon.
- ➤ This implies non-existence of super-extremal black hole.

GEDENKEN EXPERIMENT

- Can we overspin or overcharge to destroy a black hole by throwing matter go large spin or charge into it?
- Consider throwing a charged particle of mass m and charge e into an extremal Reissner-Nordstrom (RN) black hole with M=Q. The energy of the particle is given by

 $E = -(mu_{\mu} + eA_{\mu})\xi^{\mu} \ge e\Phi_{H} = e$ with $\Phi_{H} = (-A_{\mu}\xi^{\mu})|_{H} = 1$ for extermal RN black hole. Thus, M + E > = Q + e, impossible to overcharge.

Dynamically, this is a very complicated problem due to the self-force and self-energy. E.g., self-force of electric charged particle:

$$ma^{\mu} = f^{\mu}_{\text{ext}} + e^{2} \left(\delta^{\mu}_{\ \nu} + u^{\mu} u_{\nu} \right) \left(\frac{2}{3m} \frac{D f^{\nu}_{\text{ext}}}{d\tau} + \frac{1}{3} R^{\nu}_{\ \lambda} u^{\lambda} \right) + 2e^{2} u_{\nu} \int_{-\infty}^{\tau^{-}} \nabla^{[\mu} G^{\ \nu]}_{+\ \lambda'} \left(z(\tau), z(\tau') \right) u^{\lambda'} d\tau',$$

HUBENY'S ARGUMENT

- ► Hubeny (1999) argued that it is possible to overcharge a nearextremal black hole. Parametrizing the near-extremality: $\epsilon = \sqrt{1-q^2}$ with q = Q/M.
- ► The EM potential now is $\Phi_H = Q/r_+ \approx 1 \epsilon$, and the energy of the charged particle $E \ge e(1 \epsilon)$. Thus, we have

$$M + E - (Q + e) \approx -e\epsilon + M\epsilon^2/2$$

➤ It seems that we can overcharge to destroy a black hole if e > €M/2. However, this is not the whole story since the e² effect is involved for the argument without also including it in estimating E.





Hubeny's argument (1999)

Including 2nd order effect by Sorce & Wald (2017)

- Thus, to fix the WCCC violation of Hubeny's argument, one needs to take into account the 2nd order effect.
- ► Also, a general proof is needed to go beyond particle-matter.
- In 2017, Sorce & Wald carried out this task and gave a general proof of WCCC based on the variational identities, which is the generalization of black hole's first law when considering the falling-in of the generic matters up to 2nd order variation.

DESTROY A BTZ BLACK HOLE

- The gedanken experiment to overspin or overcharge a BH by throwing chargers or spinning matters is an operational statement toward the third law of black hole mechanics/ thermodynamics (Isarel, 1986).
- By AdS/CFT correspondence, this third law statement corresponds to the third law of thermodynamics for the dual CFTs.
- This also motivates us to check if one can violate WCCC for BTZ black holes.
- To enlarge the scope, we incorporate torsion to go beyond the gravity of Riemannian geometry.

OUTLINE

- I. Review of Source & Wald: proof of WCCC in Einstein gravity
- a. Variational Identities & Canonical Energy
- b. Gedanken Experiments to destroy a Kerr-Newman Black holes
- Setup for Topological Massive Gravity (TMG) with torsion, the so-called Mielke-Baekler (MB) model
- 3. Our proof for WCCC in MB model by adopting Source & Wald
- ► 4. Conclusion

WALD'S NOETHER METHOD

- ► To construct the energy and its variation in GR, we can follow Wald's Noether method. Introduce Lagrangian n-form $L(\phi)$ with $\phi = (g_{\mu\nu}, \psi)$, its variation yields $\delta L = E(\phi)\delta\phi + d\Theta(\phi, \delta\phi)$.
- ► One can then define the symplectic and Noether current (n-1)-forms: $\omega(\phi, \delta_1 \phi, \delta \phi_2) = \delta_1 \Theta(\phi, \delta_2 \phi) - \delta_2 \Theta(\phi, \delta_1 \phi) \Leftrightarrow \delta p \wedge \delta x \quad (\text{with } \Theta \Leftrightarrow p \delta x)$ $J_{\xi} = \Theta(\phi, \mathcal{L}_{\xi} \phi) - i_{\xi} L$
- ► Easy to show $dJ_{\xi} = -E(\phi)\mathcal{L}_{\xi}\phi$ so that $J_{\xi} = dQ_{\xi} + C_{\xi}$ with $C_{\xi} \propto E(\phi)$
- ► Use the identity $\delta J_{\xi} = \omega(\phi, \delta\phi, \mathcal{L}_{\xi}\phi) + d[i_{\xi}\Theta(\phi, \delta\phi)]$, one can derive

$$\delta h_{\xi} := \int_{\Sigma} \omega(\phi, \delta\phi, \mathcal{L}_{\xi}\phi) = \int_{\Sigma} [\delta J_{\xi} - di_{\xi}\Theta(\delta\phi)] = \int_{\partial\Sigma} [\delta Q_{\xi} - i_{\xi}\Theta(\phi, \delta\phi)] + \int_{\Sigma} \delta C_{\xi}$$

$$\Leftrightarrow \delta H = \delta p \, \dot{x} - \delta x \, \dot{p} = \delta(p\dot{x} - L) + e.o.m.$$

> Note that $\omega(\phi, \delta\phi, \mathcal{L}_{\xi}\phi = 0) = 0$ if ξ is a Killing vector field. Thus, $\int_{\partial \Sigma} [\delta_{\xi} Q_{\xi} - i_{\xi} \Theta(\phi, \delta\phi)] = -\int_{\Sigma} \delta C_{\xi}$ The contribution of the boundary integral from infinity yields variation of ADM quantities: for $\xi = t + \Omega_H \varphi$,

$$\int_{\infty} [\delta Q_{\xi} - i_{\xi} \Theta(\phi, \delta\phi)] = \delta M - \Omega_H \delta J$$

- ► For 2nd order variation, we define canonical energy to characterize the effect of gravitational & EM fluxes $\mathcal{E}_{\Sigma}(\phi, \delta\phi) = \int_{\Sigma} \omega(\phi, \delta\phi, \mathcal{L}_{\xi}\delta\phi)$
- ► Vary the 1st order variation Id, we can arrive $\mathcal{E}_{\Sigma}(\phi, \delta\phi) = \int_{\partial\Sigma} [\delta^2 Q_{\xi} - i_{\xi} \delta\Theta(\phi, \delta\phi)] + \int_{\Sigma} \delta^2 C_{\xi} + \int_{\Sigma} i_{\xi} (\delta E \cdot \delta\phi)$
- ► Similarly, we can define the 2nd order variation of ADM:

$$\int_{\infty} [\delta^2 Q_{\xi} - i_{\xi} \delta \Theta(\phi, \delta \phi)] = \delta^2 M - \Omega_H \delta^2 J$$

EINSTEIN-MAXWELL

- ► For black hole, we need to evaluate the boundary term at horizon B. This needs explicit form, for Einstein-Maxwell: $\Theta_{abc}(\phi, \delta\phi) = \frac{1}{16\pi} \epsilon_{dabc} (\nabla^b \delta g_{db} - g^{ce} \nabla_d \delta g_{ce} - 4F_d^b \delta A_b) := \Theta^{GR} + \Theta^{EM}$ $Q_{ab} = -\frac{1}{16\pi} \epsilon_{abcd} (\nabla^c \xi^d + 2F^{cd} A_e \xi^e) := Q^{GR} + Q^{EM}$ $C_{bcda} = \epsilon_{ebcd} (T_a^e + J^e A_a)$, where $8\pi T^{de} := G^{de} - 8\pi T_{EM}^{de}$, $4\pi j^d = \nabla_b F^{db}$ $\delta C_{bcda} = \epsilon_{ebcd} (\delta T_a^e + A_a \delta J^e)$
- ► Note that $\xi = 0$ on B of non-extremal BH, thus $i_{\xi}\Theta|_{B} = 0$. Thus, $\int_{B} \delta Q_{\xi}^{GR} = \frac{\kappa}{8\pi} \delta A_{B}, \quad \int_{B} \delta Q_{\xi}^{EM} = \Phi_{H} \delta Q_{B}$, we then have the 1st variational Id: $\delta M - \Omega_{H} \delta J - \frac{\kappa}{8\pi} \delta A_{B} - \Phi_{H} \delta Q_{B} = -\int_{\Sigma} \epsilon_{ebcd} [\delta T_{a}^{e} + A_{a} \delta J^{e}] \xi^{a}$
- Similarly, the 2nd order variational Id: $\delta^2 M - \Omega_H \delta^2 J - \frac{\kappa}{8\pi} \delta^2 A_B - \Phi_H \delta^2 Q_B = \mathcal{E}(\phi, \delta\phi) - \int_{\Sigma} \epsilon_{ebcd} [\delta^2 T_a^e + A_a \delta^2 J^e] \xi^a$

where we use the fact: $i_{\xi}(\delta E \cdot \delta \phi)_{abc} = -\xi^d \epsilon_{dabc} [\frac{1}{2} \delta T^{ef} \delta_{ef} + \delta j^e \delta \delta A_e] = 0$ when pulling back to B as $\xi|_B = 0$.





from Source & Wald

- ► choose a hypersurface $\Sigma = \mathcal{H} \cup \Sigma_1$ for the falling matters as shown so that at earlier time $\delta \phi = 0$.
- ► For extremal BH, $\delta A_B = \delta Q_B = 0$. Use 1st order variational ID and define $\delta Q = \int_{\mathcal{H}} \delta(\epsilon \cdot J)$, we have $\delta M - \Omega_H \delta J - \Phi_H \delta Q = -\int_{\mathcal{H}} \delta T^{ab} \xi_a k_b \ge 0$ if $\delta T^{ab} \xi_a \xi_b \ge 0$.
- ► Note $\Omega_H = \frac{a}{r_+^2 + a^2}$, $\Phi_H = \frac{Qr_+}{r_+^2 + a^2}$, $r_+ = M + \sqrt{M^{\textcircled{o}} a^2 Q^2}$, for extremal BH, $r_+ = M$, we have $\delta M \ge \frac{a}{M^2 + a^2} \delta J + \frac{QM}{M^2 + a^2} \delta Q$
- ► This is exactly the condition we can violate WCCC: $\delta M^2 = 2M\delta M \ge \delta[(J/M)^2 + Q^2] = 2(J/M)(M\delta J - J\delta M)/M^2 + 2Q\delta Q$
- This inequality implies the perturbation moves upward along the light-cone of the M-(Q & J) space.





DESTROY A NEAR-EXTREMAL BH?

► We first assume the first order variation is done optimally:

 $\delta M = \Omega_H \delta J + \Omega_H \delta Q$

- ► For chosen Cauchy surface, we have $\delta^2 Q_B = \delta^2 A_B = 0$.
- ► Define ${}^{\delta^2 Q = \int_{\mathcal{H}} \delta^2(\epsilon \cdot J)}$, then the 2nd order variation Id becomes $\delta^2 M - \Omega_H \delta^2 J - \Phi_H \delta^2 Q = \mathcal{E}(\phi, \delta\phi) - \int_{\Sigma} \epsilon_{ebcd} \delta^2 T_a^e \xi^a \ge \mathcal{E}(\phi, \delta\phi)$ if $\delta^2 T^{ab} \xi_a \xi_b \ge 0$
- The evaluation of canonical energy on horizon is tedious, just summarize the results:

 $\mathcal{E}_{\mathcal{H}}(\phi,\delta\phi) = \frac{1}{4\pi} \int_{\mathcal{H}} \left[(\xi^a \nabla_a u) \delta\sigma_{bc} \delta\sigma^{bc} + 2k^d \xi^e \delta F_d^f \delta F_{ef} \right] = \text{ infalling fluxes of gravitational and EM waves} \ge 0$

To evaluate the $\mathcal{E}_{\Sigma_1}(\phi, \delta\phi)$, we need to introduce the linear stability assumption (Sorce & Wald):

Any source free solution to linearly Einstein-Maxwell equations approach a perturbation towards another Kerr-Newman BH at sufficient late time.

- ► With this assumption, we have $\mathcal{E}_{\Sigma_1}(\phi, \delta\phi) = \mathcal{E}_{\Sigma_1}(\phi, \delta\phi^{KN}) = \mathcal{E}_{\Sigma}(\phi, \delta\phi^{KN})|_{\text{no fluxes}} = \mathcal{E}_{\Sigma}(\phi, \delta\phi^{KN})|_{\delta^2 M = \delta^2 J = \delta^2 Q_B = \delta E = \delta^2 C = 0} = -\frac{\kappa}{8\pi} \delta^2 A_B^{KN}$
- ► For simplicity, below we consider the RN BH only. Start with $A_B = 4\pi r_+^2$, then $\delta^2 A_B^{KN}|_{\delta^2 M = \delta^2 Q = 0} = \frac{8\pi}{\epsilon^3} \left[(1+\epsilon)^2 (2\epsilon-1)(\delta M)^2 [(Q/M)^2 + (1+\epsilon)\epsilon^2](\delta Q)^2 2(Q/M)(\epsilon^2-1)\delta M\delta Q \right]$
- ► Use $\kappa = \frac{\epsilon}{M(1+\epsilon)^2}$, the 2nd order variations obey $\delta^2 M - \Omega_H \delta^2 J - \Phi_H \delta^2 Q \ge (\delta Q)^2 / M + \mathcal{O}(\epsilon)$
- ► Now, we check the WCCC condition for one-parameter family of RN solution up to 2nd order: $f(\lambda) = (M + \lambda \delta M + \lambda^2 \delta^2 M/2)^2 - (Q + \lambda \delta Q + \lambda^2 \delta^2 Q/2)^2$
- ► Using 2nd order variation but up to first order in λ , we obtain Hubeny's result: $f(\lambda) \ge M^2 \epsilon^2 - 2Q \delta Q \lambda \epsilon + O(\lambda^2, \epsilon^3, \epsilon^2 \lambda)$
- ► However, up to full 2nd order in both ϵ , λ , we have

 $f(\lambda) \ge (\epsilon M - \lambda Q \delta Q/M)^2 \ge 0$

For some special case, i.e., dropping a charged particle from infinity into a Kerr BH along the BH's symmetry axis, the result of the 2nd order variation yields

$$E := \delta^2 M \ge -\frac{\kappa}{8\pi} \delta^2 A_B^{KN} = \frac{1}{2M} (\delta Q)^2 = \text{work done by self-force} + \text{self-energy}$$
$$= \int_{r_+}^{\infty} \frac{Mr}{(r^2 + a^2)^2} (\delta Q)^2 dr + \frac{1}{2r_p} (\delta Q)^2 \times (\kappa r_p)$$
$$= \frac{M}{2(r_+^2 + a^2)} (\delta Q)^2 + \frac{\kappa}{2} (\delta Q)^2$$



Hubeny's argument (1999)



Sorce & Wald (2017)

MB MODEL OF 3D GRAVITY

► MB model:

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 $L = L_{\rm EC} + L_{\Lambda} + L_{\rm CS} + L_{\rm T} + L_{\rm M} \,,$

$$\begin{split} L_{\rm EC} &= \frac{1}{\pi} e^a \wedge R_a , \\ L_{\Lambda} &= -\frac{\Lambda}{6\pi} \epsilon_{abc} e^a \wedge e^b \wedge e^c , \\ L_{\rm CS} &= -\theta_{\rm L} \left(\omega^a \wedge d\omega_a + \frac{1}{3} \epsilon_{abc} \omega^a \wedge \omega^b \wedge \omega^c \right) , \\ L_{\rm T} &= \frac{\theta_{\rm T}}{2\pi^2} e^a \wedge T_a , \end{split}$$

$$\omega^{a} = \frac{1}{2} \epsilon^{a}_{\ bc} \omega^{bc} , \qquad \qquad R^{a} = \frac{1}{2} \epsilon^{a}_{\ bc} R^{bc} ,$$

► EOMs are solved by

$$T^{a} = \frac{T}{\pi} \epsilon^{a}{}_{bc} e^{b} \wedge e^{c},$$
$$R^{a} = -\frac{\mathcal{R}}{2\pi^{2}} \epsilon^{a}{}_{bc} e^{b} \wedge e^{c},$$

in which

$$\mathcal{T} \equiv \frac{-\theta_{\mathrm{T}} + 2\pi^2 \Lambda \theta_{\mathrm{L}}}{2 + 4\theta_{\mathrm{T}} \theta_{\mathrm{L}}}, \qquad \mathcal{R} \equiv -\frac{\theta_{\mathrm{T}}^2 + \pi^2 \Lambda}{1 + 2\theta_{\mathrm{T}} \theta_{\mathrm{L}}}.$$

- ► We are consider 3 limits of MB model:
- 1. Einstein gravity: $\theta_L \rightarrow 0$, $\theta_T \rightarrow 0$
- 2. Chiral gravity: setting T = 0 (reduce to TMG) first, then take $\theta_L \rightarrow -1/(2\pi\sqrt{-\Lambda})$
- 3. Torsional chiral gravity: take $\theta_L \rightarrow -1/(2\pi\sqrt{-\Lambda})$ so that $\mathcal{T} \rightarrow \pi\sqrt{-\Lambda}/2$
- ► All three limits are well-defined without ghost, and admit BTZ solutions but with an effective cosmological constant: $\Lambda_{\text{eff}} = -\frac{T^2 + R}{\pi^2} \quad so \ that$ $horizons: \ r_{\pm}^2 = \frac{1}{2\Lambda_{-\pi}} \left(-M \mp \sqrt{M^2 + \Lambda_{\text{eff}}J^2}\right) \qquad angular \ velocity: \ \Omega_{H} = \frac{J}{2r_{\pm}^2} = \frac{r_{\pm}}{r_{\pm}} \sqrt{-2}$

Hawking T: $T_{H} = -\frac{\Lambda_{\text{eff}}(r_{+}^2 - r_{-}^2)}{2\pi r_{+}},$

angular velocity: $\Omega_{H} = \frac{J}{2r_{+}^{2}} = \frac{r_{-}}{r_{+}} \sqrt{-\Lambda_{eff}}.$ surface gravity: $\kappa_{H} = 2\pi T_{H}.$

DESTROY AN EXTREMAL BTZ?

- ► Follow Wald's construction method (though in the first order formulation of gravity theory), we obtain the first order variational Id: $\delta \mathcal{M} - \Omega_{H} \delta \mathcal{J} - T_{H} \delta S = -\int_{\Sigma} \delta C_{\xi}.$
- However, the ADM quantities and entropy are not conventional:

 $\mathcal{M} = M - 2\theta_L (TM + \pi \Lambda_{\text{eff}} J),$ $\mathcal{J} = J + 2\theta_L (\pi M - TJ). \qquad S = 4\pi r_+ - 8\pi \theta_L (Tr_+ - \pi \sqrt{-\Lambda_{\text{eff}}} r_-). \qquad c.f. \text{ Ning & Wei, 2018.}$

► Also, $\delta C_{\xi} = (\mathbf{i}_{\xi} e^{a}) \wedge \delta \Sigma_{a} + (\mathbf{i}_{\xi} \omega^{a}) \wedge \delta \tau_{a}.$

 $\delta \Sigma_a$ is related to the variantian of the canonical stress tensor obeying null energy condition.

 $\delta \tau_a$ is related to the variation of the canonical spin angular momentum tensor, new in Einstein-Cartan theory

> As before, we consider the situation with $\delta S = 0$, with further simplification, the 1st order variation Id becomes

$$\begin{split} \delta \mathcal{M} &- \Omega_{H} \delta \mathcal{J} = (1 - 2\theta_{L} \mathcal{T} - 2\pi \theta_{L} \Omega_{H}) (\delta M - \Omega_{H} \delta J) - 2\pi \theta_{L} \Lambda_{\text{eff}} \left(\frac{r_{+}^{2} - r_{-}^{2}}{r_{+}^{2}} \right) \delta J \\ &= \int_{\Sigma} d^{2} x \sqrt{-\gamma} \left\{ \xi_{\mu} k_{\nu} \delta \Sigma^{\mu\nu} - \left(\kappa_{H} n_{\mu\nu} + \frac{\mathcal{T}}{\pi} \epsilon_{\mu\nu}{}^{\sigma} \xi_{\sigma} \right) k_{\lambda} \delta \tau^{\mu\nu\lambda} \right\}. \end{split}$$

- ► For extremal BTZ, it further reduces to $\delta \mathcal{M} - \Omega_H \delta \mathcal{J} = (1 - 2\theta_L \mathcal{T} - 2\pi\theta_L \sqrt{-\Lambda_{\text{eff}}})(\delta M - \sqrt{-\Lambda_{\text{eff}}}\delta J)$ $= \int_{\Sigma} d^2 x \sqrt{-\gamma} \left\{ \xi_\mu k_\nu \delta \Sigma^{\mu\nu} - \frac{\mathcal{T}}{\pi} \epsilon_{\mu\nu}{}^{\sigma} \xi_\sigma k_\lambda \delta \tau^{\mu\nu\lambda} \right\} \ge 0$ for both Einstein and Chiral gravity.
- ► Consider WCCC test for extremal BTZ: $f(\lambda) = M^2(\lambda) + \Lambda_{eff}J^2(\lambda) = 2\lambda\sqrt{-\Lambda_{eff}}|J|(\delta M - \sqrt{\Lambda_{eff}}\delta J) + O(\lambda^2)$
- ► For WCCC to hold, thus it needs $1 2\theta_L T 2\pi\theta_L \sqrt{-\Lambda_{eff}} \ge 0$.
- Thus, WCCC holds for both Einstein and chiral gravity, but not clear for torsional chiral gravity due to the lack of positivity condition for canonical spin angular momentum tensor.

- ► For chiral gravity one can see that the following relation holds: $M = (\sqrt{-\Lambda})J - \frac{\Lambda}{16\pi^2}S^2$.
- ► However, one requires the first order variation is done optimally, which just require keeping entropy constant. This then yields $\delta M = \sqrt{-\Lambda} \delta J$.
- ► Thus, the WCCC holds in chiral gravity trivially.



► Without reciting the details, we write down the 2nd order variational Id: $\delta^2 M - \Omega_H \delta^2 J = \mathcal{E}_{\Sigma}(\phi; \delta\phi)$

$$+ \delta^2 \int_{\Sigma} d^2 x \sqrt{-\gamma} \left\{ \xi_{\mu} k_{\nu} \Sigma^{\mu\nu} - \left(\kappa_{\rm H} n_{\mu\nu} + \frac{\mathcal{T}}{\pi} \epsilon_{\mu\nu}^{\ \sigma} \xi_{\sigma} \right) k_{\lambda} \tau^{\mu\nu\lambda} \right\}$$

- ► As there is no propagating d.o.f. in 3D gravity, thus $\mathcal{E}_{\mathcal{H}}(\phi, \delta \phi) = 0$.
- ► Thus, the 2nd order variation Id is reduced to $\delta^2 M \Omega_H \delta^2 J \ge -T_H \delta^2 S^{BTZ}$.

► Check the WCCC test function to full 2nd order, we find $f(\lambda) \ge (M\epsilon - \lambda \frac{\alpha^2 J \delta J}{M})^2 \ge 0.$



CONCLUSION

- ► We have reviewed Sorce & Wlad on the proof of WCCC.
- This proof based on variation of energy, charge and spin up to 2nd order, and bypass the difficulty of dynamical consideration with the complication of self-force and selfenergy.
- We further extend this scheme of proof to the 3D TMG-like models. We find WCCC holds in most of cases including Einstein gravity & chiral gravity except for the cases with torsion.
- Our results implies the operational proof of third law of thermodynamics for the 2D dual CFTs.

THANKS!