

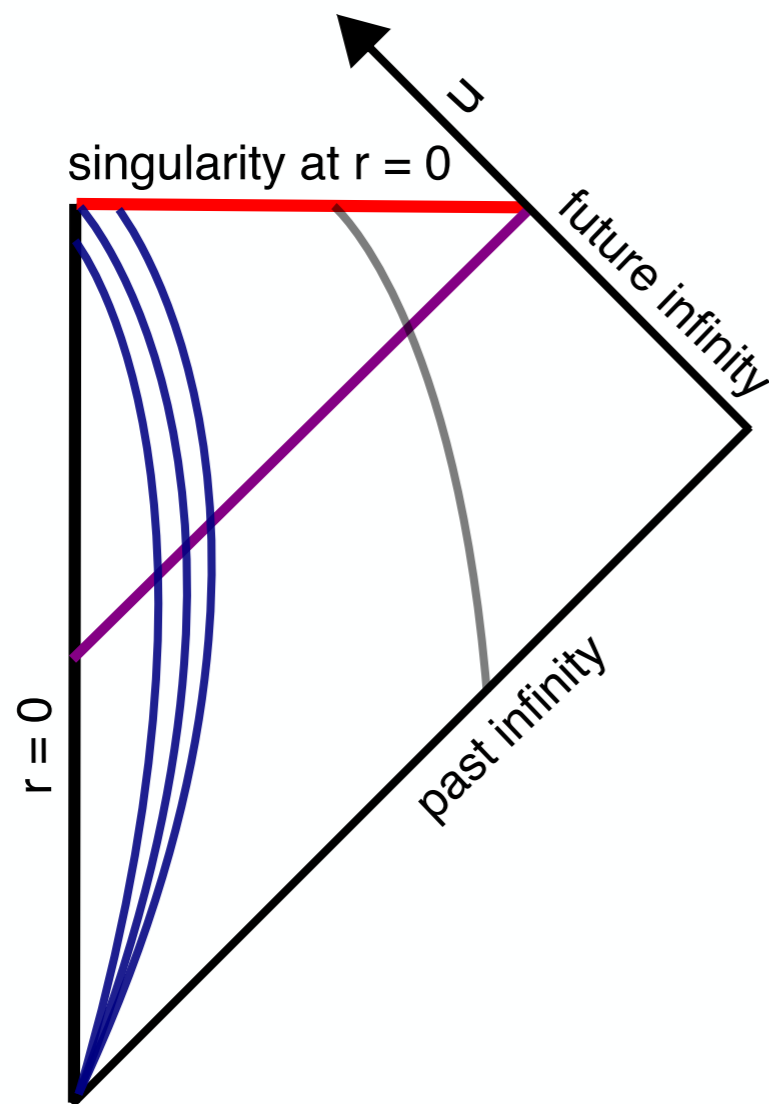
Trapping Horizon and Negative Energy

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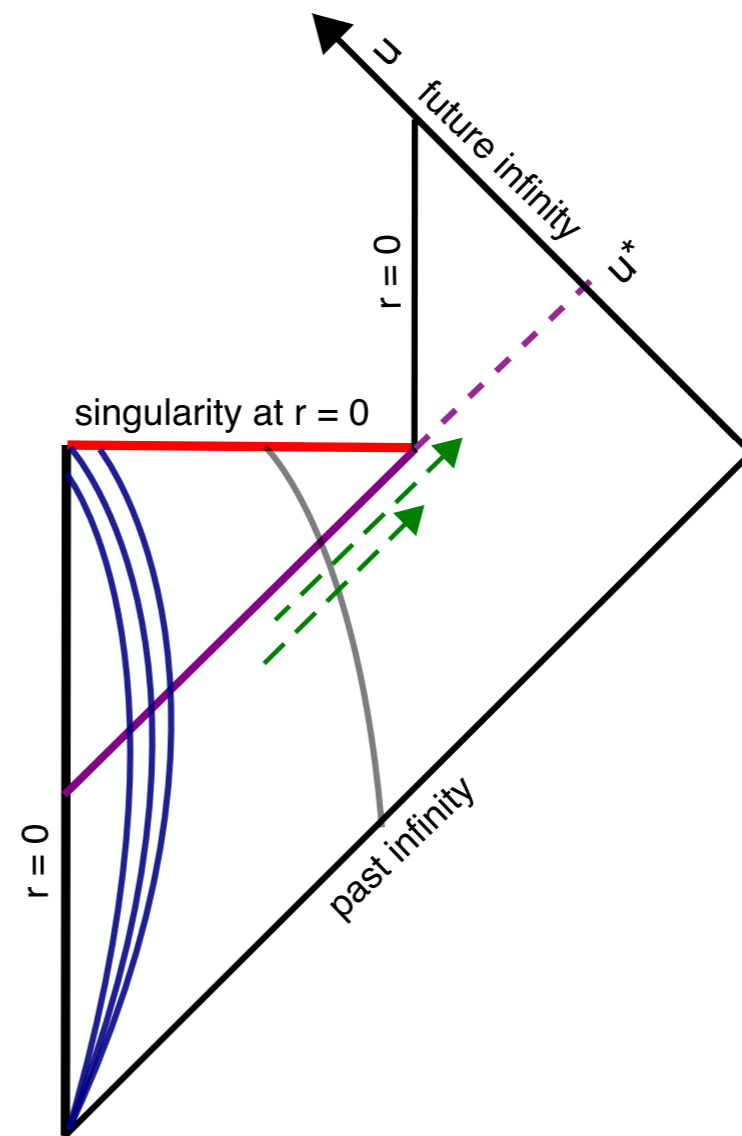
NCTS - Kyoto University Joint Meeting

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classical BH



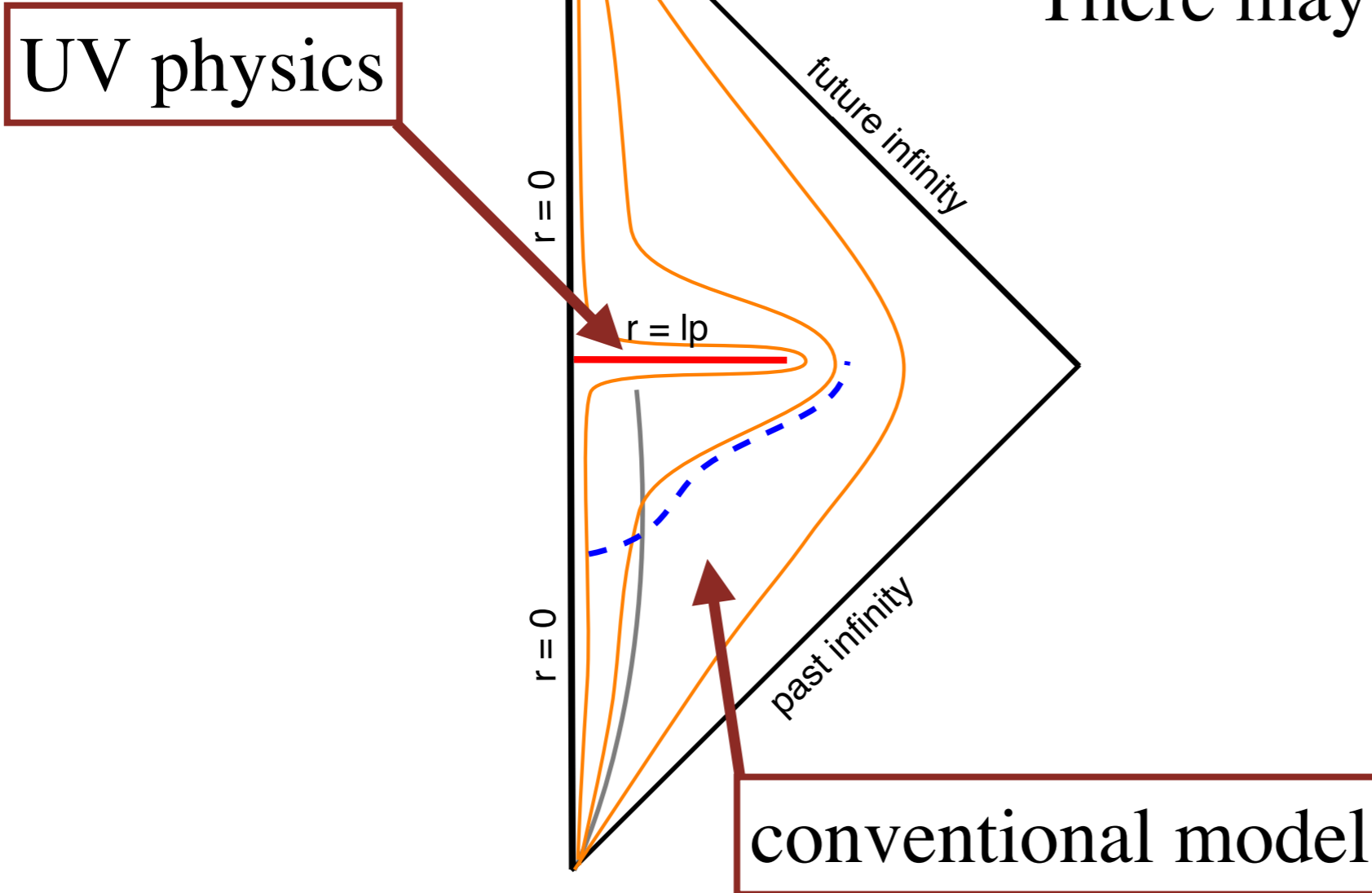
conventional model



assuming “no back-reaction”
for Hawking radiation.
[Hawking 1976]

Conventional model with singularity resolved

No event horizon if no singularity.
There may be trapping horizon.



*Horizon means trapping horizon
or apparent horizon from now on.*

Conventional Model

- A *static* black hole has balanced negative ingoing and outgoing vacuum energy fluxes at the horizon. → Null Energy Condition is violated.
- A *dynamical* black hole has Hawking radiation balanced with the negative outgoing vacuum energy flux at the horizon.
- Ingoing negative vacuum energy flux cancels mass.
- Claim: There is no high-energy event at the horizon. Low-energy effective theory holds. → paradox

Information Paradox

According to the conventional model:

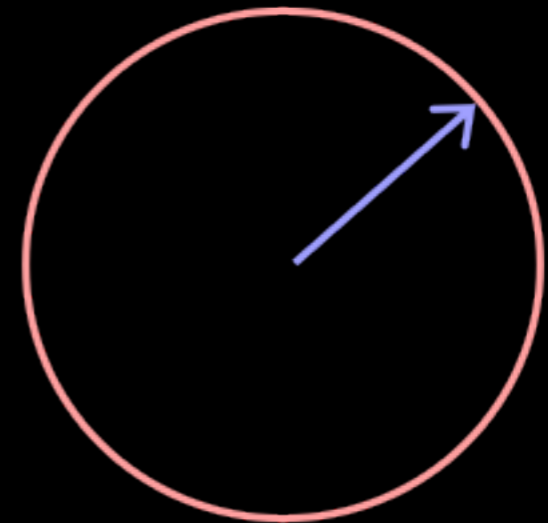
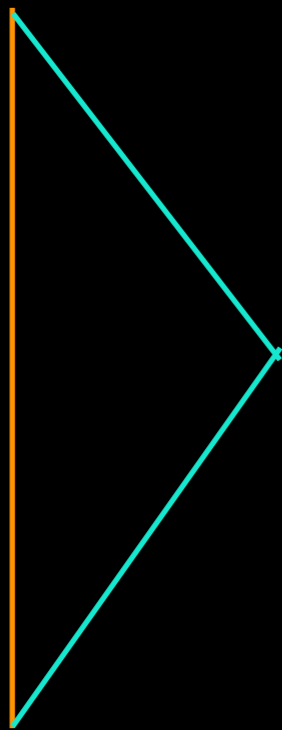
- Matter collapses into horizon, black hole evaporates.
- Hawking radiation is created outside horizon.
- No chance to bring out the information of matter.
- Remnant?
- Information paradox is not just about information.
(low-energy effective theories, black-hole thermodynamics, holography, ...)

Information Paradox

- Absence of high energy events \Rightarrow information loss
- Mathur:
 - “Niceness conditions” must be violated. (e.g. firewall)
 - $O(1)$ correction needed at horizon \rightarrow fuzzball
- Where are the high energy events?

Two Types of Paradoxes

- Twin's paradox
- Barn and Ladder Paradox
- Ehrenfest Paradox



The Task

- What is really the geometry in time evolution according to semi-classical Einstein equations (with back reaction of vacuum energy)?

$$G_{\mu\nu} = \kappa T_{\mu\nu}^{class} + \kappa \langle \hat{T}_{\mu\nu} \rangle$$

- High energy events?

Basic Assumptions

- Semi-classical Einstein equation:

$$G_{\mu\nu} = \kappa T_{\mu\nu}^{class} + \kappa \langle \hat{T}_{\mu\nu} \rangle$$

- Spherical symmetry

$$ds^2 = - C(u, v) du dv + r^2(u, v) d\Omega^2$$

- 1. Static black hole?

- 2. Dynamic black-hole (formation/evaporation)?

areal radius



What's wrong with naive perturbation

- Perturbative expansion in κ is different when

$$r \sim a + O(\kappa/a) \quad (\kappa \equiv 8\pi G_N, \quad \hbar = c = 1)$$

$$G_{\mu\nu} = \kappa \langle \hat{T}_{\mu\nu} \rangle$$

$$ds^2 = - \left(1 - \frac{a}{r} \right) dt^2 + \frac{dr^2}{1 - a/r} + d\Omega^2$$

- Schwarzschild metric is only a good approximation at a few Planck lengths away from the Schwarzschild radius (in vacuum).

Static Black Holes

- The energy-momentum operator $\langle T_{\mu\nu} \rangle$ in curved spacetime is different for different QFTs.
- Conformal matters are convenient because of trace anomaly
- 2D massless field [Davies-Fulling-Unruh 1976][PMH-Matsuo 17 (1)]
[PMH-Matsuo 17 (2)]
- 4D conformal matter [Christensen-Fulling 1977][PMH-Kawai-Matsuo-Yokokura 18]
- Literature [Solodukhin 04, 06; Fabbri-Farese-Navarro-Salas-Olmo-Sanchis-Alepuz 05 (1), 05 (2)]

Static Black Holes

[Ho-Kawai-Matsuo-Yokokura, JHEP1811]

Schwarzschild background

$$ds^2 = - \left(1 - \frac{a}{r} \right) dt^2 + \frac{dr^2}{1 - \frac{a}{r}} + r^2(d\theta^2 + \sin^2 \theta d\phi^2)$$

$$\rightarrow - e^{\rho(r)} \left[dt^2 - \frac{dr^2}{F(r)} \right] + r^2(d\theta^2 + \sin^2 \theta d\phi^2).$$

$$G_{\mu\nu} = \kappa \langle T_{\mu\nu} \rangle$$

$$\kappa \equiv 8\pi G_{\text{Newton}}$$

$$\nabla^\mu \langle T_{\mu\nu} \rangle = 0$$

$$\rho(r) = \rho_0(r) + \rho_1(r) + \dots,$$

$$F(r) = F_0(r) + F_1(r) + \dots.$$

The most general conserved energy-momentum tensor with spherical symmetry for static states:

$$\langle T^t_t \rangle = -\frac{1}{r(r-a)}[q + H(r) + G(r)] + \frac{1}{2}\langle T^\mu_\mu \rangle - 2\Theta(r),$$

$$\langle T^r_r \rangle = \frac{1}{r(r-a)}[q + H(r) + G(r)],$$

$$\langle T^\theta_\theta \rangle = \Theta(r) + \frac{1}{4}\langle T^\mu_\mu \rangle = \langle T^\phi_\phi \rangle.$$

$$H(r) \equiv \frac{1}{2} \int_a^r dr' \left(r' - \frac{a}{2} \right) \langle T^\mu_\mu(r') \rangle,$$

$$G(r) \equiv 2 \int_a^r dr' \left(r' - \frac{3a}{2} \right) \Theta(r').$$

$$\Theta(r) \equiv \langle T^\theta_\theta(r) \rangle - \frac{1}{4}\langle T^\mu_\mu \rangle.$$

3 Classes of Geometries

[PMH-Kawai-Matsuo-Yokokura 18]

- Wormhole-like neck $q < 0$

Local minimum in r occurs at $r > a$ (No event horizon.)

- Event horizon $q = 0$

Equivalent to a shift of Schwarzschild radius a . (*fine tuning*)

- No neck, no horizon $q > 0$

Perturbation theory breaks down when $r - a \ll \kappa |q| a$

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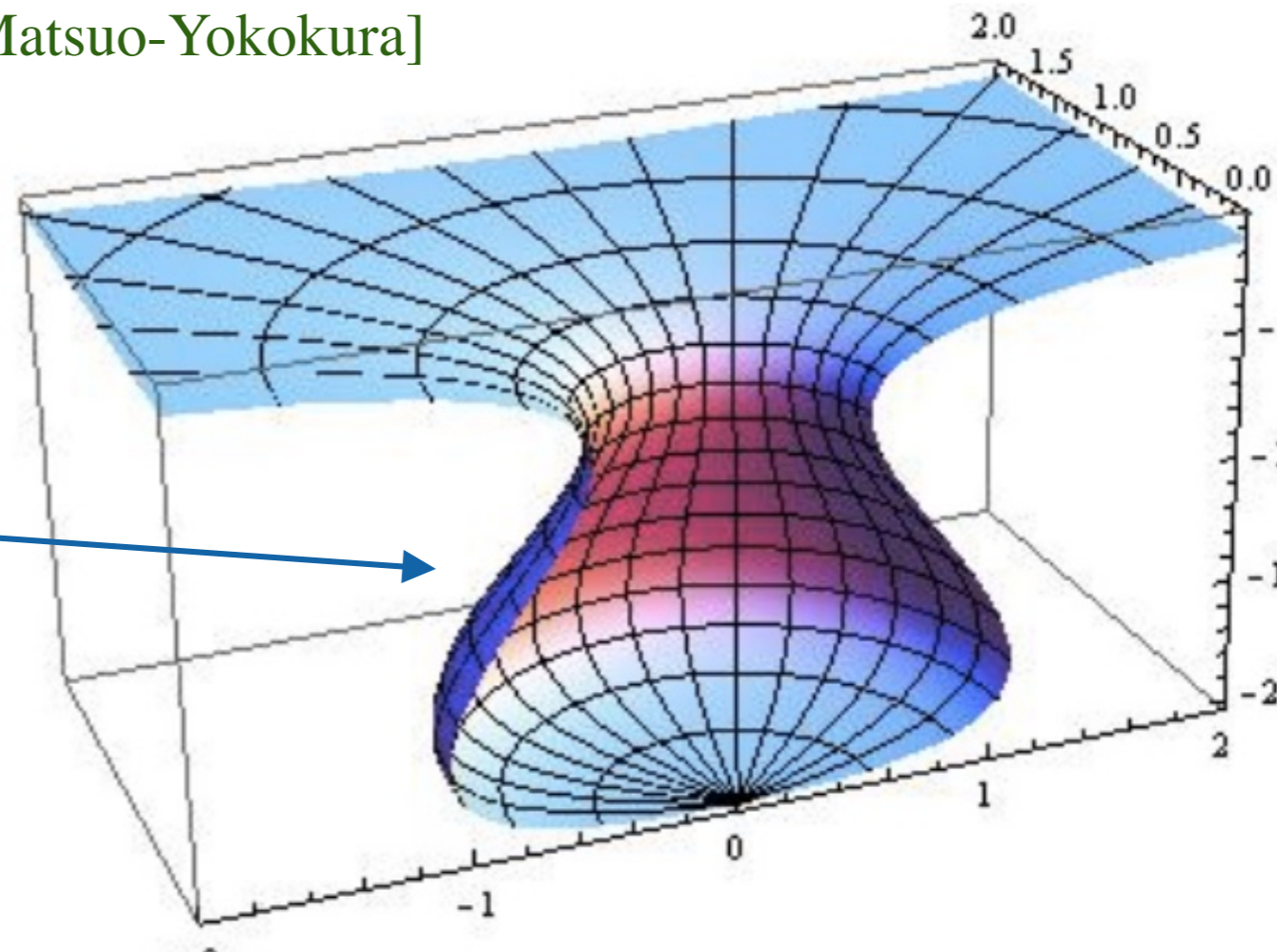
Perturbation theory breaks down when $r - a \ll \kappa |q| a$

Wormhole-Like Solution: local minimum in areal radius

- ▶ vacuum energy + incompressible fluid
- ▶ No horizon
- ▶ *Large pressure* when matter resides inside the neck

[PMH-Matsuo 17 (1), (2), PMH-Kawai-Matsuo-Yokokura]

Radial distance is short



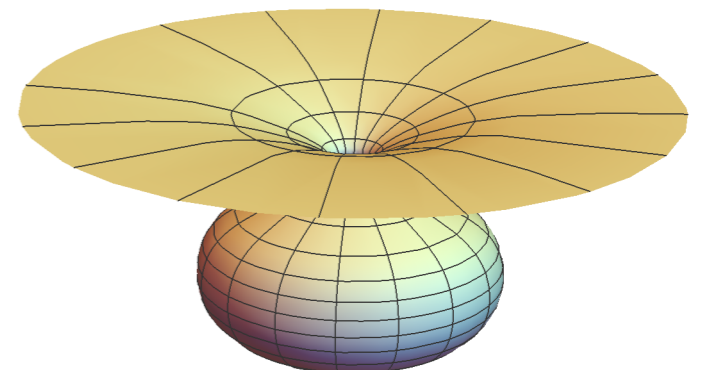
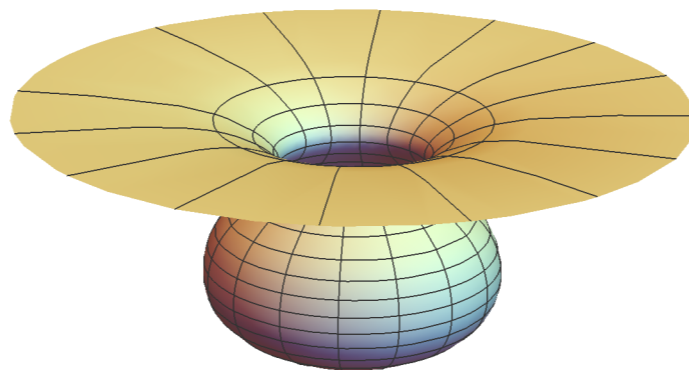
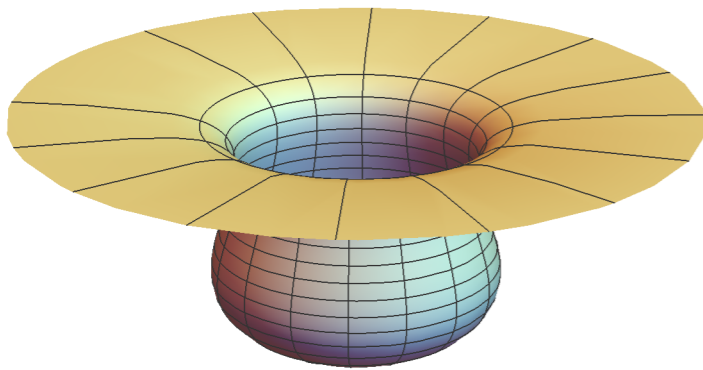
Dynamical Cases: 3 Scenarios

- apparent horizon: collapsing wormhole evaporated
[PMH-Matsuo 18, PMH-Matsuo-Yang 19, PMH-Matsuo 19]
- apparent horizon: collapsing wormhole (nearly)
decapitated [Parentani-Piran 1994]
- no apparent horizon: KMY Model
[Kawai-Matsuo-Yokokura 13][Kawai-Yokokura 14, 15, 17]
- Different scenarios happen for different vacuum EMT.

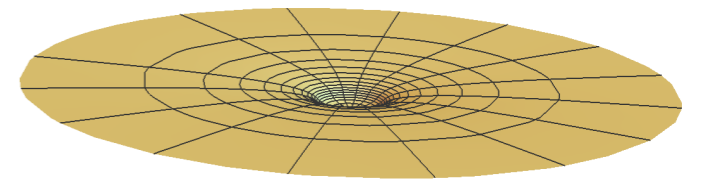
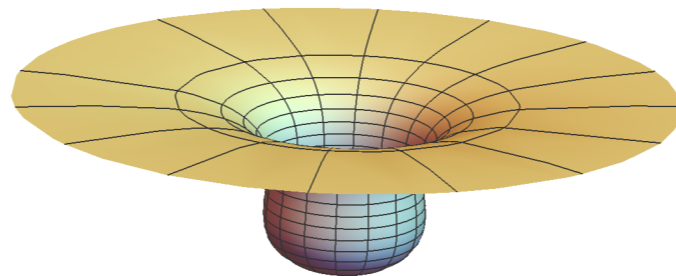
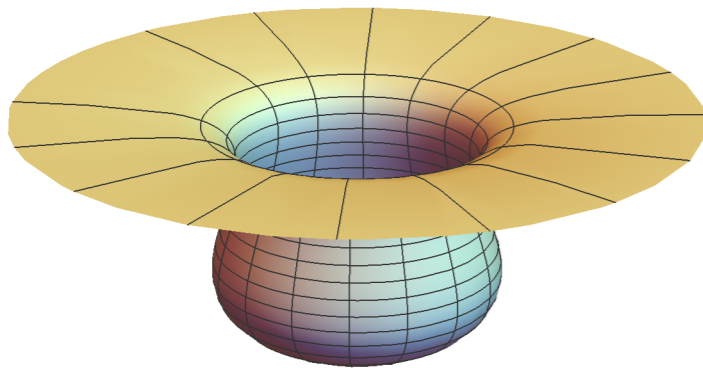
Black-Hole Geometry

[Ho-Matsuo, JHEP 1807]

Wheeler's Bag of Gold as Remnant



Everything Evaporated



Trapping Horizon

[Ho-Matsuo, JHEP 1807]

Spherical Symmetry

$$ds^2 = -C(u, v)dudv + r^2(u, v)(d\theta^2 + \sin^2 \theta d\phi^2)$$

areal radius

Trapping Horizon

Minkowski space

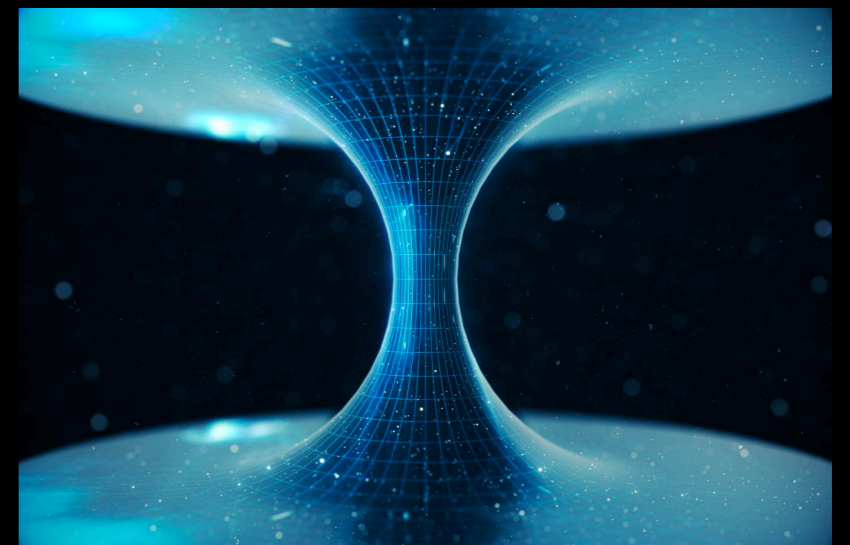
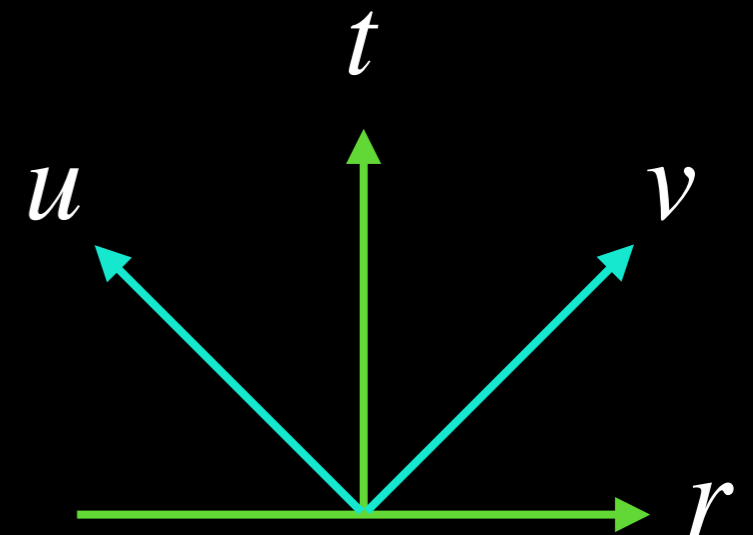
$$\partial_u r < 0, \quad \partial_v r > 0$$

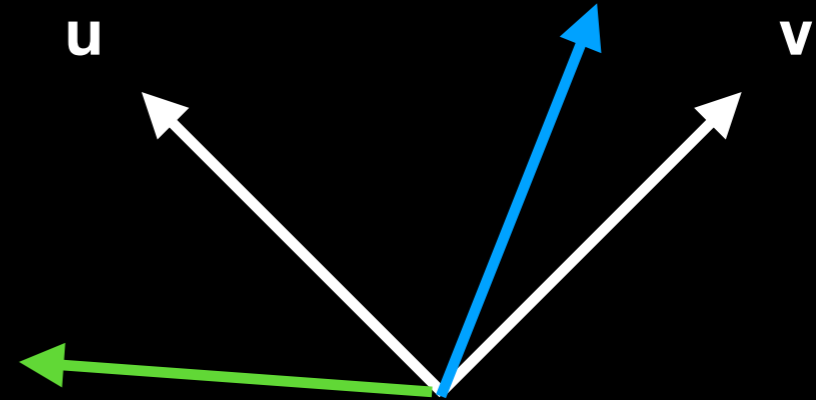
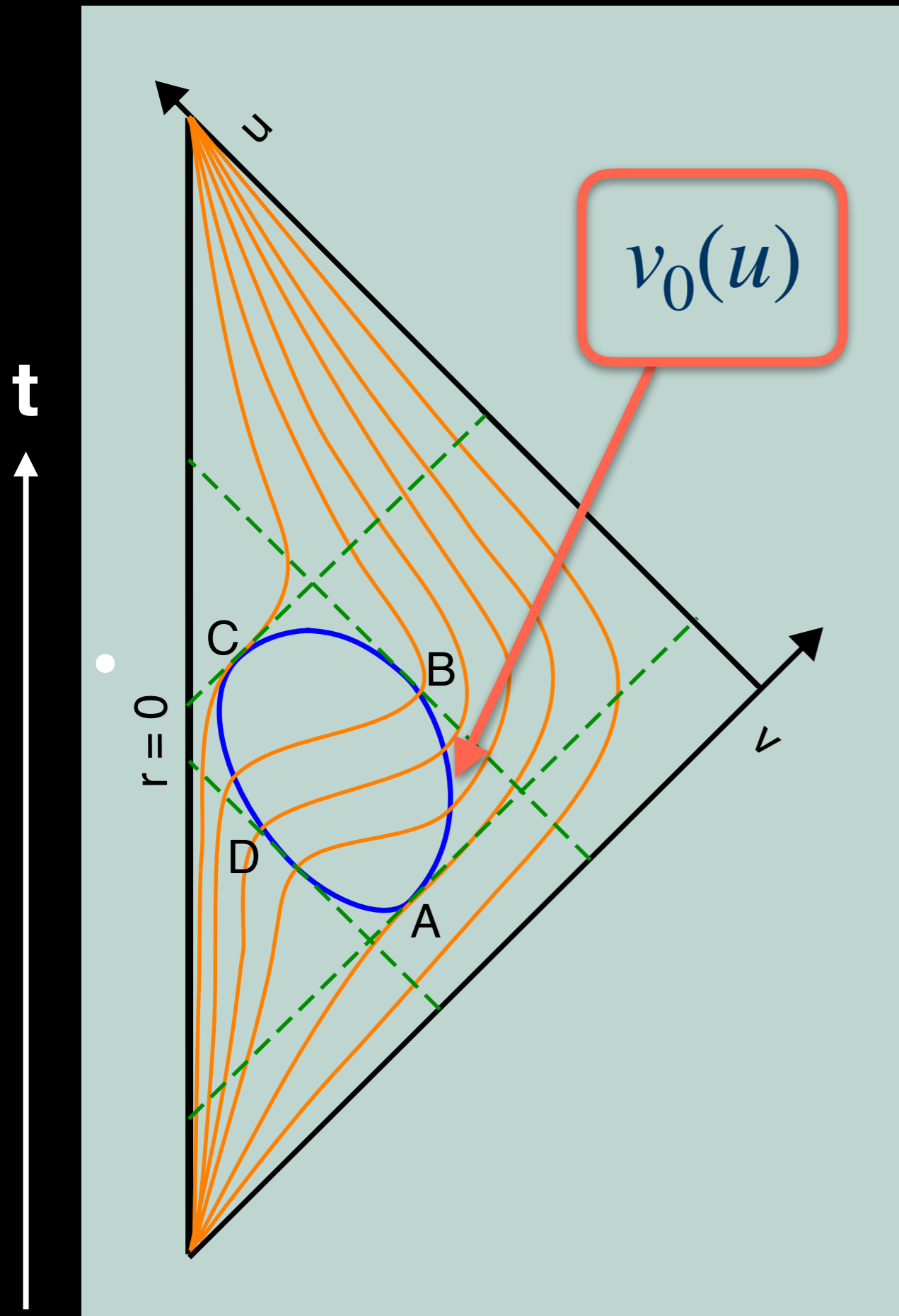
Trapped region

$$\partial_u r < 0, \quad \partial_v r < 0$$

Trapping horizon

$$\partial_v r = 0, \quad (\partial_v^2 r > 0, \quad \partial_v^2 r < 0?)$$





- Time-like \Rightarrow vacuum

$$\frac{dv_0}{du} > 0 \quad \Rightarrow \quad T_{vv} < 0$$

- Space-like \Rightarrow matter

$$\frac{dv_0}{du} < 0 \quad \Rightarrow \quad T_{vv} > 0$$

Semi-Classical Einstein Equation

$$G_{uu} \equiv \frac{2\partial_u C \partial_u r}{Cr} - \frac{2\partial_u^2 r}{r} = \kappa T_{uu}$$

$$G_{vv} \equiv \frac{2\partial_v C \partial_v r}{Cr} - \frac{2\partial_v^2 r}{r} = \kappa T_{vv}$$

$$G_{uv} \equiv \frac{C}{2r^2} + \frac{2\partial_u r \partial_v r}{r^2} + \frac{2\partial_u \partial_v r}{r} = \kappa T_{uv}$$

$$G_{\theta\theta} \equiv \frac{2r^2}{C^3} (\partial_u C \partial_v C - C \partial_u \partial_v C) - \frac{4r}{C} \partial_u \partial_v r = \kappa T_{\theta\theta}$$

Semi-Classical Einstein Equation

$$\partial_v r = 0$$

@ trapping horizon

$$G_{uu} \equiv \frac{2\partial_u C \partial_u r}{Cr} - \frac{2\partial_u^2 r}{r} = \kappa T_{uu}$$

$$G_{vv} \equiv \frac{2\partial_v C \partial_v r}{Cr} - \frac{2\partial_v^2 r}{r} = \kappa T_{vv}$$

$$G_{uv} \equiv \frac{C}{2r^2} + \frac{2\partial_u r \partial_v r}{r^2} + \frac{2\partial_u \partial_v r}{r} = \kappa T_{uv}$$

$$G_{\theta\theta} \equiv \frac{2r^2}{C^3} (\partial_u C \partial_v C - C \partial_u \partial_v C) - \frac{4r}{C} \partial_u \partial_v r = \kappa T_{\theta\theta}$$

Energy-Momentum Tensor

around Trapping Horizon at $v = v_0(u)$

$$C(u, v) = C_0(u) + C_1(u)(v - v_0(u)) + \dots$$

$$r(u, v) = a(u) + \frac{1}{2}r_2(u)(v - v_0(u))^2 + \dots$$

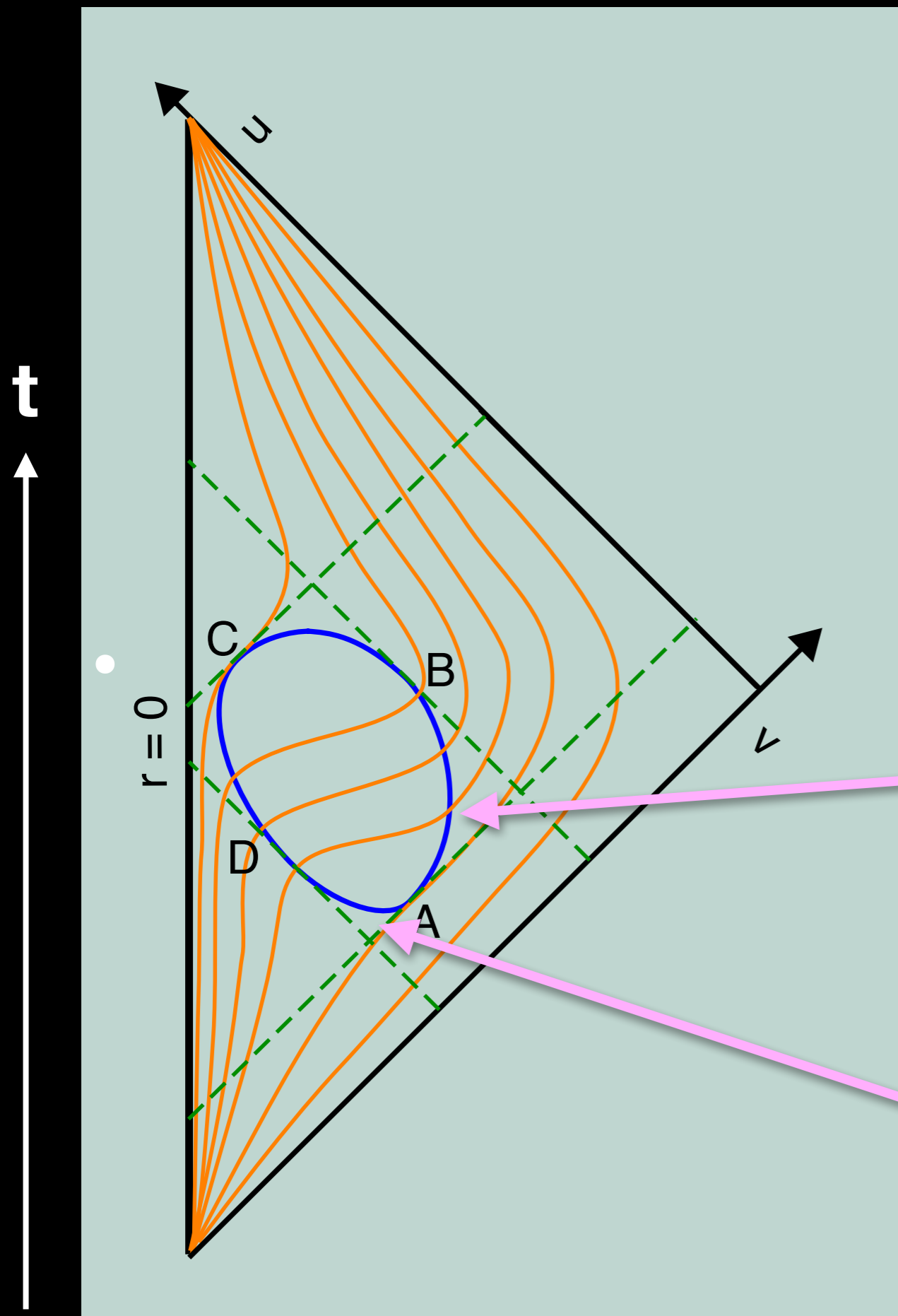
Semi-
classical
Einstein
equation \Rightarrow

$$r_2(u) = -\frac{1}{2}\ell_p^2 a(0)T_{vv}^{(0)}(u)$$

$$\dot{v}_0(u) = \frac{C_0(u) - 2\ell_p^2 a^2(u)T_{uv}^{(0)}}{-2\ell_p^2 a^2(u)T_{vv}^{(0)}}$$

$$\ell_p^2 \equiv 8\pi G_N$$
$$\hbar = c = 1$$

Trapping Horizon in vacuum demands negative T_{vv} .



Trapping Horizon

Apparent Horizon

**Outer Trapping Horizon
in Vacuum**

**Outer Trapping Horizon
in Matter**

“No Drama” at Horizon

$$ds^2 = -C(u, v)dudv + r^2(u, v)(d\theta^2 + \sin^2 \theta d\phi^2)$$

Residual gauge symmetry

$$u \rightarrow u'(u) \quad v \rightarrow v'(v)$$

Gauge invariant quantities

$$|R_{\theta\theta}| \sim \mathcal{O}\left(\frac{\ell_p^2}{a^2(u)}\right) \ll 1$$

$$\det(R^{(2D)}) \sim \mathcal{O}\left(\frac{\ell_p^2}{a^6(u)}\right) \ll \mathcal{O}\left(\frac{1}{a^4(u)}\right)$$

EMT @ Outer Trapping Horizon in Vacuum

[Ho-Matsuo, JHEP 1807]

$$\mathcal{E} \equiv T_{\xi\xi} \simeq -T_{\xi\zeta} \simeq T_{\zeta\zeta}$$

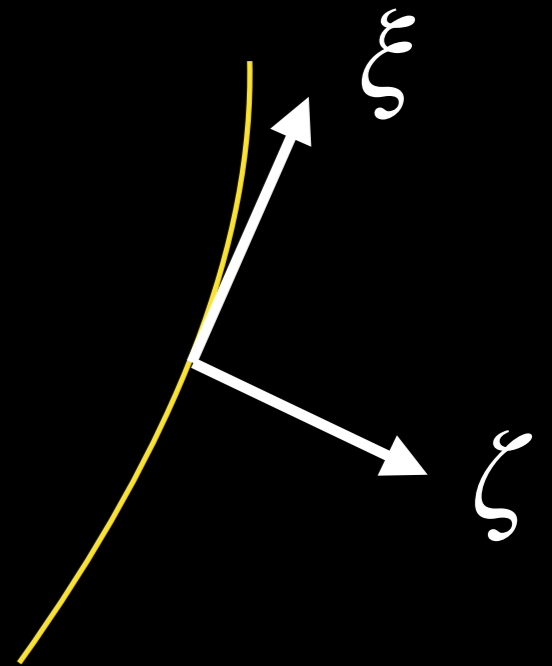
$$\mathcal{P} \equiv 4\pi a^2(u)\mathcal{E}$$

Universal
result:

$$\mathcal{E} \simeq -\frac{1}{2\ell_p^2 a^2}$$

$$\mathcal{P} \simeq -\frac{2\pi}{\ell_p^2}$$

$$\ell_p^2 \equiv 8\pi G_N$$
$$\hbar = c = 1$$



Black Hole's mass decreases because of this,
not Hawking Radiation.

Large Quantum Correction?

- Schwarzschild solution:

$$T_{\mu\nu} = 0 \quad \leftrightarrow \quad R_{\mu\nu} = 0$$

- Quantum correction:

$$\mathcal{E} \equiv T_{\mu\nu} \xi^\mu \xi^\nu \simeq -\frac{1}{2\ell_p^2 a^2(u)} \longrightarrow -\infty \quad (\hbar \rightarrow 0)$$

- Non-perturbative gauge-invariant geometric quantity
- Gravitational effect of such a large vacuum energy?

Outlook

- $O(1)$ correction at trapping horizon
- Not completely local, as Hawking radiation.
- Relevance in low-energy effective action?

Thank you!