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# Gravity with Gauss-Bonnet term

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# 1. Motivation :

### **Q**: Why Higher Curvatures - Gauss-Bonnet Term?

- 1) Low energy effective theory from string theory
   → Einstein Gravity + higher curvature terms
  - Gauss-Bonnet term is the simplest leading term.



2) Recent observations of gravitational wave from the mergers of compact binary sources have opened the new horizons in astrophysics as well as cosmology.

These may lead to the tests and constraints to theories beyond Einstein gravity.

## **Q** : What is the physical effects of the Higher Curvature terms?

1) Effects to the Black Holes.

We show that in BHs in the dilaton-Einstein-Gauss-Bonnnet theory:

There exist hairs : minimum mass, instability, etc.

- 2) Effects in the Early Universe.
  - during the inflation, etc.

## 3) Holography

instability of AdS BH ↔ phase transitions in Quantum System

AdS BH geometry

The geometry is described by the following action

$$S = \frac{1}{2\kappa^2} \int d^5x \sqrt{g} \left(-R + 2\Lambda\right) \qquad \qquad \Lambda = -\frac{6}{R^2} : \text{cosmological constant}$$

$$ds^2 = -N^2(r) \ dt^2 + f^{-2}(r) \ dr^2 + r^2 d\Omega^2$$

$$N^2(r) = f^2(r) = \left(1 - \frac{2M}{r} + \frac{r^2}{l^2}\right) \qquad \text{No lower bound on the black hole mass}$$

$$Holographic QCD \qquad \qquad \beta(g^2) = \frac{dg^2(\mu)}{d\ln\mu} \qquad \beta(g^2) = \frac{dg^2(\mu)}{d\ln\mu}$$

$$\varphi_s = e^{\phi(r)} = g^2_{YM}(\mu) \qquad \qquad \varphi_s = e^{\phi(r)} = g^2_{YM}(\mu)$$

$$(\text{asymptotic AdS Space}) \qquad \longleftarrow \qquad QCD$$

## Holography:

#### QCD Phase transition



The geometry with smaller action  $\Delta S = \lim_{\epsilon \to 0} (S_{AdSBH} - S_{tAdS}) = \frac{\pi z_h R^3}{\kappa^2} \left( \frac{1}{z_{IR}^4} - \frac{1}{2z_h^4} \right) > 0$  for T < Tc is the stable one for given T.

Needs an asymptotic geometry towards realistic holography, with natural realization of Tc, etc.

**Einstein-Gauss-Bonnet Gravity** Simplest Higher Curvature Extension of the Einstein Gravity  

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa^2} R + \alpha R_{GB}^2 - \frac{1}{2} g^{\mu\nu} \partial_{\mu} \phi \partial_{\nu} \phi \right] \qquad R_{GB}^2 = R^2 - 4R_{ab}R^{ab} + R_{abcd}R^{abcd}$$
Gauss-Bonnet term, topological in 4-dim.

Lovelock theory - 2<sup>nd</sup> order equation of motion, no ghosts

Lovelock term of order m = Euler characteristic of dimension 2m

$$L_m = \delta_{a_1 a_2 a_3 a_4 \cdots}^{b_1 b_2 b_3 b_4 \cdots} R_{a_1 a_2}^{b_1 b_2} R_{a_3 a_4}^{b_3 b_4} \cdots$$

Ex) Order 1

 $L_1 = R$  Einstein-Hilbert term, topological in 2-dim.

Order 2  $L_2 = R^2 - 4R_{ab}R^{ab} + R_{abcd}R^{abcd} = R_{GB}^2$  Gauss-Bonnet term, topological in 4-dim.

#### cf). Horndeski theory

We will work on the Dilaton-Einstein-Gauss-Bonnet Gravity  $S = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa^2} R - \frac{1}{2} \xi(\phi) R_{GB}^2 + \frac{1}{2} g^{\mu\nu} \partial_{\mu} \phi \partial_{\nu} \phi - V(\phi) \right]$ 

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  - Reconstruction of the Scalar Field Potential

## 4. Summary

2. Black Holes in the Dilaton Einstein Gauss-Bonnet (DEGB) theory Review : Einsten theory – Schwarzschild Black Hole

#### Action

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa^2} R - \frac{1}{2} g^{\mu\nu} \partial_{\mu} \phi \partial_{\nu} \phi \right]$$

where  $g = \det g_{\mu\nu}$  and  $\kappa \equiv 8\pi G$ 

## Black Hole solution

$$ds^{2} = -(1 - \frac{2M}{r}) dt^{2} + \frac{dr^{2}}{(1 - \frac{2M}{r})} + r^{2} d\Omega^{2}$$
  
Horizon  
$$r_{H} = 2M$$

 $\phi = 0$  No hair

#### Note :

- 1. No minimum mass of BH : there is no lower bound on  $r_H = 2M$
- 2. No (scalar) Hair  $\phi = 0$  [Chase, CMR 19, 276 (1970)]





Review : AdS Black Holes

#### **Action**

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa^2} R + \Lambda - \frac{1}{2} g^{\mu\nu} \partial_{\mu} \phi \partial_{\nu} \phi \right]$$

where  $g = \det g_{\mu\nu}$  and  $\kappa \equiv 8\pi G$ 

### **Black Hole solution**

$$ds^{2} = -N^{2}(r) dt^{2} + f^{-2}(r) dr^{2} + r^{2} d\Omega^{2}$$

$$N^{2}(r) = f^{2}(r) = (1 - \frac{2M}{r} + \frac{r^{2}}{l^{2}})$$

$$\phi = 0 \quad \text{No hair} \qquad T = \frac{r_{+}}{\pi \ell^{2}} + \frac{1}{2\pi r_{+}}$$

$$r_{+}^{2} = -\frac{\ell^{2}}{2} + \ell \sqrt{\frac{\ell^{2}}{4} + \omega M} \qquad \omega \equiv \frac{16\pi G_{N}}{3\text{vol}(S^{3})}$$

$$- \text{ Small BH : Similar to BH's in flat spacetime, Negative specific heats a specific heats a$$

Note :

For  $\Lambda = 0$ , the theory becomes the Einstein gravity.

#### Brown, Creighton & Mann (1994)

0

2

8

4

8

10

 $\mathcal{D}M$ 

## 2-2) Dilaton-Einstein-Gauss-Bonnet (DEGB) theory : Hairy black holes Action

$$I = \int_{\mathcal{M}} \sqrt{-g} d^4 x \left[ \frac{R}{2\kappa} - \frac{1}{2} \nabla_\alpha \Phi \nabla^\alpha \Phi + \alpha e^{-\gamma \Phi} R_{\rm GB}^2 \right] + \oint_{\partial \mathcal{M}} \sqrt{-h} d^3 x \frac{K - K_o}{\kappa} \,,$$

where  $g = \det g_{\mu\nu}$  and  $\kappa \equiv 8\pi G$ 

The GB term :

 $R_{\rm GB}^2 = R^2 - 4R_{\mu\nu}R^{\mu\nu} + R_{\mu\nu\rho\sigma}R^{\mu\nu\rho\sigma}$ 

Guo, N.Ohta & T.Torii, Prog.Theor.Phys. 120,581 (2008);121,253 (2009); N.Ohta & Torii, Prog.Theor.Phys.121,959; 122,1477 (2009);124,207 (2010); K.i.Maeda, N.Ohta Y.Sasagawa, PRD80, 104032 (2009); 83,044051 (2011) N. Ohta and T. Torii, Phys.Rev. D 88 ,064002 (2013).

Note (for  $\alpha$  and  $\gamma$  )

1) For  $\gamma = 0$ , DEGB theory becomes the Einstein-Gauss-Bonnet (EGB) theory, with the GB term becoming the boundary term

2) The symmetry under  $\gamma \rightarrow -\gamma$ ,  $\Phi \rightarrow -\Phi$ . allows choosing  $\gamma$  positive without loss of generality.

3)  $\alpha$  scaling :The coupling  $\alpha$  dependency could be absorbed by the  $r \rightarrow r/\sqrt{\alpha}$  transformation. However, the behaviors for the  $\alpha = 0$  case cannot be generated in this way. Hence, we keep the parameter  $\alpha$ , to show a continuous change to  $\alpha = 0$ .

### Q : signature of $\alpha$ ?

2-1) Einsten Gauss-Bonnet (EGB) theory

W.Ahn, B. Gwak, BHL, W.Lee, Eur.Phys.J.C (2015)

Action  

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2\kappa^2} R + \alpha R_{GB}^2 - \frac{1}{2} g^{\mu\nu} \partial_{\mu} \phi \partial_{\nu} \phi \right]$$
where  $g = \det g_{\mu\nu}$  and  $\kappa \equiv 8\pi G$   $R_{GB}^2 = R^2 - 4R_{\mu\nu}R^{\mu\nu} + R_{\mu\nu\rho\sigma}R^{\mu\nu\rho\sigma}$ 

**The Gauss-Bonnet term** 

## Black Hole solution $ds^2 = -(1 - \frac{2M}{r}) dt^2 + \frac{dr^2}{(1 - \frac{2M}{r})} + r^2 d\Omega^2$ $\phi = 0$ No hair Horizon $r_H = 2M$

Note :

1) For the coupling  $\alpha = 0$ , the theory becomes the Einstein gravity.

2) GB term is a surface term, not affecting the e.o.m. Hence, The black hole solution is the same as that of the Schwarzschild one.

3) However, the GB term contributes to the black hole entropy and influence stability.



#### The Einstein equations and the scalar field equation are

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = \kappa \left(\partial_{\mu}\Phi\partial_{\nu}\Phi - \frac{1}{2}g_{\mu\nu}\partial_{\rho}\Phi\partial^{\rho}\Phi + T^{GB}_{\mu\nu}\right), \qquad (2)$$
$$\frac{1}{\sqrt{-g}}\partial_{\mu}[\sqrt{-g}g^{\mu\nu}\partial_{\nu}\Phi] - \alpha\gamma e^{-\gamma\Phi}R^{2}_{GB} = 0, \qquad (3)$$

Note :

1) All the black holes in the DEGB theory with given non-zero couplings  $\alpha$  and  $\gamma$  have hairs. I.e., there does not exist black hole solutions without a hair in DEGB theory.

(If we have  $\Phi = 0$ , dilaton e.o.m. reduces to  $R_{GB}^2 = 0$ . so it cannot satisfy the dilaton e.o.m.)

2) Hair Charge Q is not zero, and is not independent charge either : secondary hair.

Question) How can it be consistant with the no hair theorem?

Note :

1. Primary hair : mass, angular momentum, charge

Global charges are given by a surface integral of flux density over a sphere at infinity. In other words, global charges are quantities which can be measured at infinity.

2. Secondary hair : scalar(dilaton) hair, ... : is determined by the primary hair.

DEGB black hole solutions ( $\alpha > 0$ )  $\gamma = 1/6$ , and  $\alpha = 1/16$ 



The five solid lines correspond to different DEGB black hole solutions.

Coupling  $\gamma$  dependency of the minimum mass for fixed  $\alpha = 1/16 > 0$ .





1. For large  $\gamma$ , sing. pt S & extremal pt C (with minimum mass  $\widetilde{M}$ ) exist.

- 2. The solutions between point S and C are unstable for perturbations and end at the singular point S , I.e., there are two black holes for a given mass in which the smaller one is unstable under perturbations.
- 3. As  $\gamma$  smaller, the singular point S gets closer to the minimum mass point C.
- 4. Below  $\gamma$ =1.29, the solutions are perturbatively stable and approach the Schwarzschild black hole in the limit of  $\gamma$  going to zero. These solutions depend on the coupling  $\gamma$ .
- 5. If DEGB BH horizon becomes larger, the scalar field goes to 0, and the BH becomes a Schwarzschild BH.

#### GB term $\rightarrow$ makes gravity "less attractive" (for $\alpha > 0$ ) !!!

Q: How about the properties, such as Stability & implication to the cosmology, etc?

#### BHL, W. Lee, D. Rho, PRD (2019)



The metric components and the profile of the dilaton field for a black hole solution.

The role of the signature  $\alpha$  : the mass of BH

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BHL, W. Lee, D. Rho, PRD (2019)
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The lower bound for the black hole mass vs.  $\gamma$ 



The blue dashed line represent the black holes having the minimum masses.

The red dashed line represents the maximized  $\gamma$  to get the black hole solution with the negative  $\alpha$ .

**The black line** represent the lower bound for black holes with maximum value of  $\phi_h$  which have the minimum black hole radius  $r_h$ .

#### Negative cosmological constant with Gauss-Bonnet term : Phases

S. KHIMPHUN, BHL, W. LEE PRD(2016)

Solutions

*α* = 1.0 γ=1/2, Λ=1/2, κ=1



There exists the minimum mass of a black hole.

If the black hole horizon  $r_h$  becomes larger, the magnitude of the scalar hair becomes smaller.

Thermodynamics  $\gamma = 1/2$ ,  $\Lambda = 1/2$ ,  $\kappa = 1$ 



 $\alpha = 0$  for the dashed line  $\alpha = 0.005$  for the blue line,  $\alpha = 0.4$  for the red line,  $\alpha = 0.8$  for the green line,  $\alpha = 1.0$  for the black line

## **Black Hole Stability (nonperturbative)**

**Colliding Black Holes : A Black Hole Merger + Gravitational Wave** 



**Q** : Can Black Holes be splitted into fragmentation?

#### **Reverse Process**



GW150914

Can a Black Hole be unstable splitting into two Black Holes ?



#### For Schwarzschild black hole

If the Black Hole splits into two black holes with mass fractions of  $(1-\delta, \delta)$ , then

$$\frac{S_f}{S_i} = \frac{(\delta r_h)^2 + ((1 - \delta)r_h)^2}{r_h^2} = \delta^2 + (1 - \delta)^2 \le 1$$

Schwarzschild black holes are always stable under the fragmentation.

**Note** : The entropy ratio approaches 1 as  $\delta \rightarrow 0$ .

In other words,

Marginally stable under the fragmentation shooting off the BH with infinitesimal mass.

These phenomena become different in the theory with the higher order of curvature term.

#### **Fragmentation Instability for DEGB Black Holes**

DEGB black hole with mass M decaying into two daughter BHs with mass fraction  $(1-\delta, \delta)$ .



Note : 1) It cannot decay into black holes with mass smaller than the minimum mass  $M_{min}$ . Hence,  $\delta_m \leq \delta \leq 1/2$ ,  $\delta_m = \frac{M_{min}}{M}$ .

2) The BHs with  $M < 2M_{min}$  are absolutely stable.

The black hole can be fragmented only when its mass exceeds twice of minimum mass.

The phase diagrams with respect to  $\gamma$  and  $\widetilde{M}$ 



## 3.Cosmological Effects of the Gauss-Bonnet term - Inflation

• An action with a Gauss-Bonnet term:

$$\begin{split} S &= \int d^4 x \sqrt{-g} \left[ \frac{1}{2\kappa^2} R - \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) - \frac{1}{2} \xi(\phi) R_{GB}^2 \right] & \text{Gauss-Bonnet term} \\ R_{GB}^2 &= R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} - 4R_{\mu\nu} R^{\mu\nu} + R^2 \\ G_{\mu\nu} &= \kappa^2 \left( T_{\mu\nu} + T_{\mu\nu}^{GB} \right) \\ T_{\mu\nu} &= \partial_\mu \phi \partial_\nu \phi + V(\phi) - \frac{1}{2} g_{\mu\nu} \left( g^{\rho\sigma} \partial_\rho \phi \partial_\sigma \phi + 2V \right) \\ T_{\mu\nu}^{GB} &= 4 \left( \partial^\rho \partial^\sigma \xi R_{\mu\rho\nu\sigma} - \Box \xi R_{\mu\nu} + 2\partial_\rho \partial_{(\mu} \xi R_{\nu)}^\rho - \frac{1}{2} \partial_\mu \partial_\nu \xi R \right) - 2 \left( 2\partial_\rho \partial_\sigma \xi R^{\rho\sigma} - \Box \xi R \right) g_{\mu\nu} \\ \Box \phi - V_{,\phi}(\phi) - \frac{1}{2} T^{GB} = 0 \\ T^{GB} &= \xi_{,\phi}(\phi) R_{GB}^2 \end{split}$$

FLRW Universe metric: 
$$ds^2 = -dt^2 + a^2(t) \left( \frac{dr^2}{1-Kr^2} + r^2(d\theta^2 + sin^2\theta d\phi^2) \right)$$

Einstein and Field equations yield:  

$$H^{2} = \frac{\kappa^{2}}{3} \left( \frac{1}{2} \dot{\phi}^{2} + V - \frac{3K}{\kappa^{2}a^{2}} + 12\dot{\xi}H \left( H^{2} + \frac{K}{a^{2}} \right) \right)$$

$$\dot{H} = -\frac{\kappa^{2}}{2} \left( \dot{\phi}^{2} - \frac{2K}{\kappa^{2}a^{2}} - 4\ddot{\xi} \left( H^{2} + \frac{K}{a^{2}} \right) - 4\dot{\xi}H \left( 2\dot{H} - H^{2} - \frac{3K}{a^{2}} \right) \right)$$

$$\ddot{\phi} + 3H\dot{\phi} + V_{,\phi} + 12\xi_{,\phi} \left( H^{2} + \frac{K}{a^{2}} \right) (\dot{H} + H^{2}) = 0$$

<u>S. Koh</u>, BHL, <u>W. Lee</u>, <u>Tumurtushaa</u> **PRD90 (2014) no.6, 063527** 





The blue-tilt of the spectrum for the tensor modes would be realized when the scalar field is initially released at large value  $\varphi_0 > \varphi_*$ .

If the scalar field is initially released otherwise  $\varphi_0 < \varphi_*$ , the spectrum would be red-tilted.

The spectrum is scale invariant at the boundary  $\varphi_0 = \varphi_*$ .

Reconstruction of the Scalar Field Potential in Inflationary Models with a Gauss-Bonnet term

We obtain the scalar field potential in terms of ns and r

$$V(N) = \frac{1}{8c_1} r(N) e^{-\int [n_s(N) - 1] dN},$$

S. Koh, BHL, G. Tumurtushaa PRD95(2017)

$$\forall \rightleftharpoons n_s, r$$

and then we find  $\xi(N)$ 

$$\xi(N) = \frac{3}{4\kappa^4} \left[ \frac{1}{V(N)} + \int \frac{r(N)}{8V(N)} dN + c_2 \right] \,,$$

the relation between the number of e-folding N and the scalar field

$$\int_{\phi_e}^{\phi} d\phi = \int \sqrt{\frac{r(N)}{8\kappa^2}} dN$$

## Example model

$$\xi(N) = \frac{3}{4\kappa^4} \left[ \left( \frac{8}{q} \frac{N^p + \alpha}{(N+\alpha)^\beta} + \frac{(N+\alpha)^{1-\beta}}{1-\beta} \right) c_1 + c_2 \right]$$

$$V(N) = -\frac{\beta}{N+\alpha},$$

$$r(N) = \frac{q}{N^p + \alpha}.$$
gives
$$V(N) = \frac{q}{8c_1} \frac{(N+\alpha)^\beta}{N^p + \alpha}.$$

$$\phi - \phi_e = N\sqrt{\frac{q}{8\kappa^2\alpha}} {}_2F_1\left(\frac{1}{2}, \frac{1}{p}; 1 + \frac{1}{p}; -\frac{N^p}{\alpha}\right),$$

,

the case of p=2

 $n_s(N) -$ 

4.Summary

We have studied the Black Hole with Gauss-Bonnet term Numerically constructed the static DEGB **hairy** black hole

in asymptotically flat spacetime (& asymptotically AdS).

- There exists **minimum mass**.
- BHs have hairs.

When the scalar field on the horizon is the maximum, the DGB black hole solution has the minimum horizon size.

The BH solution & its properties are strongly dependent on the signature of the Gauss-Bonnet term If  $\alpha > 0$ ,



black hole the amount Of hair DGB decreases as the black hole DGB increases. black hole mass configurations go to EGB black hole cases for small  $\alpha$  and  $\gamma$ .



## **Summary - continued**

- A <0 with Gauss-Bonnet term : Phases

There exists the minimum mass of a black hole.

If the black hole horizon  $r_h$  becomes larger, the magnitude of the scalar hair becomes smaller.

- (in)stability of black holes (A = 0) :

DGB black holes (like most of the 4-dim. BHs) are perturbatively stable.

- Fragmentation instability of black holes: There exists region unstable under fragmention.



## **Summary - continued**

**Cosmological implications** 

- GB term makes the e-folding smaller.
- For monomial potential and monomial coupling to GB term,
   r is enhanced for α > 0 while it is suppressed for α < 0.</li>
- N $\approx$ 60 condition requires that  $\alpha \approx 10^{-6}$  for V $\sim \varphi^2 \alpha \approx 10^{-12}$  for V $\sim \varphi^4$ .
- The model parameter must take values in interval  $2.1276 \times 10^6 \leq \xi_0 \leq 3.7796 \times 10^6$

to be consistent with accuracy of future observation in which  $n_s = 0.9682 \pm 10^3$ .

## **Summary - continued**

The blue-tilt of the spectrum for the tensor modes would be realized when the scalar field is initially released at large value  $\varphi_0 > \varphi_*$ .

We showed the possibility of observational data be used to reconstruct the potential restricting viable models.

To summarize,

- we have studied the role of the Gauss-Bonnet term in the gravity theory through the Black Hole properties and the Cosmological implications.
- Gravity theory with Gauss-Bonnet term and the negative cosmological term may play some role via holography principle. Could provide the geometry towards more realistic holographic model.

# Thank You!